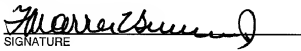


FORM PTO-1390 (REV 11-2000)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 829-585
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/936818
INTERNATIONAL APPLICATION NO. PCT/JP00/01688	INTERNATIONAL FILING DATE 17 March 2000	PRIORITY DATE CLAIMED 18 March 1999
TITLE OF INVENTION CRYSTAL GROWTH METHOD, PRODUCTION METHOD OF SEMICONDUCTOR MICROSTRUCTURE, SEMICONDUCTOR DEVICE, AND SYSTEM		
APPLICANT(S) FOR DO/EO/US TAKAHASHI et al		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 34. 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 To 20 below concern document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information. PTO-1449 and copy of International Search Report; Translation of Reply to Written Opinion		

U.S. APPLICATION NO. 09/938818 INTERNATIONAL APPLICATION NO. PCT/JP00/01688		ATTORNEY'S DOCKET NUMBER 829-585	
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)): -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1000.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO\$710.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00			
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$	860.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		\$	130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	113	-20 = 93	X \$18.00
Independent Claims	4	-3 = 1	X \$80.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			\$270.00
TOTAL OF ABOVE CALCULATIONS =			\$ 2744.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			0.00
SUBTOTAL =			\$ 2744.00
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).			0.00
TOTAL NATIONAL FEE =			\$ 2744.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property			\$ 0.00
Fee for Petition to Revive Unintentionally Abandoned Application (\$1240.00 - Small Entity = \$620.00)			\$ 0.00
TOTAL FEES ENCLOSED =			\$ 2744.00
			Amount to be: refunded \$
			Charged \$
a. <input checked="" type="checkbox"/> A check in the amount of \$2744.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. <input checked="" type="checkbox"/> The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.			
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 th Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000			
 SIGNATURE			
H. Warren Burnam, Jr. NAME			
29,366 REGISTRATION NUMBER		September 18, 2001 Date	

In re Patent Application of

TAKAHASHI et al

Atty. Ref.: 829-585

Serial No. to be assigned

Group: unknown

Filed: September 18, 2001

Examiner: unknown

For: Crystal Growth Method, Production Method of Semiconductor Microstructure,
Semiconductor Device, and System

* * * * *

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

PRELIMINARY AMENDMENT

In order to place the above-identified application in better condition for
examination, please amend the application as follows:

IN THE CLAIMS

Cancel claims 1-28 and substitute therefore new claims 1-113 appearing on the
attached pages 1-25.

REMARKS

Entry of new claims 1-113 is respectfully requested.

Respectfully submitted,

NIXON & VANDERHYE P.C.

September 18, 2001

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1. A crystal growth method for adding or crystallizing nitrogen in a crystal, comprising

5 a step of supplying aluminum and ammonium (NH_3) to a surface of the crystal,

 wherein addition or crystallization of the nitrogen from the ammonium which is supplied to the surface of the crystal into the surface of the crystal
10 is accelerated by the aluminum supplied to the surface of the crystal.

2. A crystal growth method according to claim 1, wherein decomposition of ammonium and adsorption of nitrogen on
15 a crystal surface is accelerated by aluminum.

3. A crystal growth method according to claim 1, wherein the aluminum exists at least in an outermost surface of a growing layer.
20

4. A crystal growth method according to claim 1, wherein an amount of nitrogen added to a crystal, a nitrogen composition, an amount of nitrogen adsorbed on a crystal surface and an amount of an element in the crystal surface

which is substituted with a nitrogen atom are controlled based on an amount or composition ratio of added aluminum.

5 5. A crystal growth method according to claim 1, wherein
aluminum is added to or crystallized in a restricted
region, whereby only in the restricted region, nitrogen
is added or crystallized, a nitrogen atom is adsorbed,
or an element in a crystal surface is substituted with
a nitrogen atom.

10

6. A crystal growth method according to claim 1, wherein
a method selected from among a molecular beam epitaxial
(MBE) growth method, a metal organic molecular beam
epitaxial (MO-MBE) growth method, a gas source molecular
15 beam epitaxial (GS-MBE) growth method, and a chemical beam
epitaxial (CBE) growth method is used.

20

7. A crystal growth method according to claim 1, wherein
crystal growth of a III-V compound semiconductor
including, as V group components, nitrogen and a V group
element other than nitrogen is performed.

8. A crystal growth method according to claim 7, wherein
at least one of arsenic (As), phosphorus (P), and

antimony (Sb) is selected as the V group element other than nitrogen.

9. A crystal growth method according to claim 7, wherein
5 a substrate temperature is in a range from 450°C to 640°C.

10. A crystal growth method according to claim 1, wherein
a surface of single crystal substrate is a crystal surface
slanted from a (100) surface in a [011] direction
10 (A direction) or a crystal face which is equivalent in
a crystallographic sense to the slanted crystal surface.

11. A crystal growth method according to claim 10,
wherein the slant angle is within a range equal to 2° or
15 more and equal to 25° or less.

12. A crystal growth method according to claim 1, wherein
one or more pairs of semiconductor layer A and
semiconductor layer B are superposed, the semiconductor
20 layer A including at least aluminum and nitrogen in its
composition but not including indium in its composition,
and the semiconductor layer B including at least indium
in its composition but not including nitrogen in its
composition.

13. A crystal growth method according to claim 12,
wherein the thickness of each of the semiconductor
layers A and B is one molecular layer or more, and ten
5 molecular layers or less.

14. A crystal growth method according to claim 1, wherein
crystal growth is performed by applying a source material
to a substrate in a crystal growth room which is evacuated
10 of air, and a mean free path of a molecule of each source
material is longer than a distance between the substrate
and a source of the source material.

15. A crystal growth method according to claim 1, wherein
ammonium in the form of gas is used as a nitrogen source
material, and a source material of another element is
obtained by evaporating a solid of a single element.

16. A crystal growth method according to claim 1, wherein
20 ammonium in an undecomposed state is supplied as a
nitrogen source material and decomposed on a surface of
the substrate.

17. A crystal growth method according to claim 1, wherein crystal growth is performed over an underlying (substrate) crystal which does not include nitrogen as a principal element.

5

18. A crystal growth method according to claim 17, wherein the underlying (substrate) crystal is selected from GaAs, InP, GaP, GaSb, and Si.

10 19. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 1.

20. A semiconductor device according to claim 19, wherein the semiconductor device is a light emitting element, and
15 the semiconductor layer forms a light emitting layer thereof.

21. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 10.

20

22. A semiconductor device according to claim 21, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

23. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 12.

5 24. A semiconductor device according to claim 23, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

10 25. A system which uses the semiconductor device of claim 19.

26. A system which uses the semiconductor device of claim 21.

15 27. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 14.

20 28. A semiconductor device according to claim 27, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

29. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 15.

5 30. A semiconductor device according to claim 29, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

10 31. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 16.

15 32. A semiconductor device according to claim 31, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

33. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 17.

20 34. A semiconductor device according to claim 33, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

35. A crystal growth method for adsorbing a nitrogen atom on a surface of a crystal, the crystal including aluminum in the surface thereof, comprising steps of:

growing the crystal including the aluminum on the
5 surface; and

supplying ammonium (NH_3) to the surface of the crystal including the aluminum in the surface thereof,

wherein adsorption of the nitrogen atom generated by decomposition of the ammonium supplied to the surface
10 of the crystal is accelerated by the aluminum included in the surface of the crystal.

36. A crystal growth method according to claim 35, wherein decomposition of ammonium and adsorption of
15 nitrogen on a crystal surface is accelerated by aluminum.

37. A crystal growth method according to claim 35, wherein the aluminum exists at least in an outermost surface of a growing layer.

20

38. A crystal growth method according to claim 35, wherein an amount of nitrogen added to a crystal, a nitrogen composition, an amount of nitrogen adsorbed on a crystal surface and an amount of an element in the crystal

surface which is substituted with nitrogen are controlled based on an amount or composition ratio of added aluminum.

39. A crystal growth method according to claim 35,
5 wherein aluminum is added to or crystallized in a restricted region, whereby only in the restricted region, nitrogen is added or crystallized, a nitrogen atom is adsorbed, or an element in a crystal surface is substituted with a nitrogen atom.

10

40. A crystal growth method according to claim 35,
wherein a method selected from among a molecular beam epitaxial (MBE) growth method, a metal organic molecular beam epitaxial (MO-MBE) growth method, a gas source
15 molecular beam epitaxial (GS-MBE) growth method, and a chemical beam epitaxial (CBE) growth method is used.

41. A crystal growth method according to claim 35,
wherein crystal growth of a III-V compound semiconductor
20 including, as V group components, nitrogen and a V group element other than nitrogen is performed.

42. A crystal growth method according to claim 41,
wherein at least one of arsenic (As), phosphorus (P), and

antimony (Sb) is selected as the V group element other than nitrogen.

43. A crystal growth method according to claim 41,
5 wherein a substrate temperature is in a range from 450°C to 640°C.

44. A crystal growth method according to claim 35,
comprising a series of steps including at least steps of:
10 supplying a III group source material including aluminum of less than one atomic layer;
subsequently, supplying ammonium so as to adsorb nitrogen atoms of less than one atomic layer; and
supplying a source material of a V group element
15 other than nitrogen,
wherein the series of steps are repeated one time or more.

45. A crystal growth method according to claim 44,
20 wherein in the step of supplying ammonium so as to adsorb nitrogen of less than one atomic layer, the source material of the V group element other than nitrogen is not supplied at the same time.

46. A crystal growth method according to claim 44, wherein crystal growth is performed over a single crystal substrate in which a {100} surface is a principal plane.

5 47. A crystal growth method according to claim 46, wherein a surface of the single crystal substrate is a crystal surface slanted from a (100) surface in a [011] direction (A direction) or a crystal face which is equivalent in a crystallographic sense to the slanted
10 crystal surface.

48. A crystal growth method according to claim 47, wherein the slant angle is within a range equal to 2° or more and equal to 25° or less.

15 49. A crystal growth method according to claim 35, wherein one or more pairs of semiconductor layer A and semiconductor layer B are superposed, the semiconductor layer A including at least aluminum and nitrogen in its composition but not including indium in its composition,
20 and the semiconductor layer B including at least indium in its composition but not including nitrogen in its composition.

50. A crystal growth method according to claim 49, wherein the thickness of each of the semiconductor layers A and B is one molecular layer or more, and ten molecular layers or less.

5

51. A crystal growth method according to claim 35, wherein crystal growth is performed by applying a source material to a substrate in a crystal growth room which is evacuated of air, and a mean free path of a molecule of each source material is longer than a distance between the substrate and a source of the source material.

10

52. A crystal growth method according to claim 35, wherein ammonium in the form of gas is used as a nitrogen source material, and a source material of another element is obtained by evaporating a solid of a single element.

15

53. A crystal growth method according to claim 35, wherein ammonium in an undecomposed state is supplied as a nitrogen source material and decomposed on a surface of the substrate.

20

54. A crystal growth method according to claim 35, wherein crystal growth is performed over an underlying

(substrate) crystal which does not include nitrogen as a principal element.

55. A crystal growth method according to claim 54,
5 wherein the underlying (substrate) crystal is selected from GaAs, InP, GaP, GaSb, and Si.

10 56. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 35.

57. A semiconductor device according to claim 56, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

15 58. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 47.

59. A semiconductor device according to claim 58, wherein
20 the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

60. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 49.

61. A semiconductor device according to claim 60, wherein
5 the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

62. A system which uses the semiconductor device of
10 claim 56.

63. A system which uses the semiconductor device of claim 60.

64. A semiconductor device comprising a semiconductor
15 layer formed by the crystal growth method of claim 51.

65. A semiconductor device according to claim 64, wherein
20 the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

66. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 52.

67. A semiconductor device according to claim 66, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer
5 thereof.

68. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 53.

69. A semiconductor device according to claim 68, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer
10 thereof.

70. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 54.
15

71. A semiconductor device according to claim 70, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer
20 thereof.

72. A crystal growth method for substituting a portion of elements included in a crystal surface with nitrogen

atoms, the surface of the crystal further including aluminum, comprising steps of:

growing the crystal; and

supplying ammonium (NH_3) to the surface of the
5 crystal,

wherein substitution of the portion of the elements with the nitrogen atom from the ammonium supplied to the surface of the crystal is accelerated by the aluminum included in the surface of the crystal.

10

73. A crystal growth method according to claim 72, wherein decomposition of ammonium and adsorption of nitrogen on a crystal surface is accelerated by aluminum.

15

74. A crystal growth method according to claim 72, wherein the aluminum exists at least in an outermost surface of a growing layer.

20

75. A crystal growth method according to claim 72, wherein an amount of nitrogen added to a crystal, a nitrogen composition, an amount of nitrogen adsorbed on a crystal surface and an amount of an element in the crystal surface which is substituted with nitrogen are controlled based on an amount or composition ratio of added aluminum.

76. A crystal growth method according to claim 72, wherein aluminum is added to or crystallized in a restricted region, whereby only in the restricted region, nitrogen is added or crystallized, a nitrogen atom is adsorbed, or an element in a crystal surface is substituted with a nitrogen atom.

77. A crystal growth method according to claim 72, wherein a method selected from among a molecular beam epitaxial (MBE) growth method, a metal organic molecular beam epitaxial (MO-MBE) growth method, a gas source molecular beam epitaxial (GS-MBE) growth method, and a chemical beam epitaxial (CBE) growth method is used.

78. A crystal growth method according to claim 72, wherein crystal growth of a III-V compound semiconductor including, as V group components, nitrogen and a V group element other than nitrogen is performed.

79. A crystal growth method according to claim 78, wherein at least one of arsenic (As), phosphorus (P), and antimony (Sb) is selected as the V group element other than nitrogen.

80. A crystal growth method according to claim 78, wherein a substrate temperature is in a range from 450°C to 640°C.

5

81. A crystal growth method according to claim 72, comprising a series of steps including at least steps of:
forming a III-V compound crystal layer including at least
one molecular layer of aluminum; and subsequently,
10 supplying ammonium so as to substitute a portion of
V group atoms in the III-V compound crystal layer with
nitrogen atoms, wherein the series of steps are repeated
one time or more.

15 82. A crystal growth method according to claim 72, comprising at least steps of:

crystal-forming a layered structure including at
least a first semiconductor layer containing aluminum and
a second semiconductor layer superposed thereon;

20 etching the layered structure while masking a
portion of the layered structure such that the first
semiconductor layer is exposed in a portion of an etched
surface; and

supplying ammonium to the etched surface while

heating the layered structure such that at least a portion of a constituent element in the first semiconductor layer is substituted with nitrogen.

- 5 83. A crystal growth method according to claim 82, wherein the etched surface is a (n11)A surface (n=1, 2, 3,...).

- 10 84. A crystal growth method according to claim 72, wherein a surface of single crystal substrate is a crystal surface slanted from a (100) surface in a [011] direction (A direction) or a crystal face which is equivalent in a crystallographic sense to the slanted crystal surface.

- 15 85. A crystal growth method according to claim 84, wherein the slant angle is within a range equal to 2° or more and equal to 25° or less.

- 20 86. A crystal growth method according to claim 72, wherein one or more pairs of semiconductor layer A and semiconductor layer B are superposed, the semiconductor layer A including at least aluminum and nitrogen in its composition but not including indium in its composition, and the semiconductor layer B including at least indium

in its composition but not including nitrogen in its composition.

87. A crystal growth method according to claim 86,
5 wherein the thickness of each of the semiconductor layers A and B is one molecular layer or more, and ten molecular layers or less.

88. A crystal growth method according to claim 72,
10 wherein crystal growth is performed by applying a source material to a substrate in a crystal growth room which is evacuated of air, and a mean free path of a molecule of each source material is longer than a distance between the substrate and a source of the source material.

89. A crystal growth method according to claim 72,
15 wherein ammonium in the form of gas is used as a nitrogen source material, and a source material of another element is obtained by evaporating a solid of a single element.

90. A crystal growth method according to claim 72,
20 wherein ammonium in an undecomposed state is supplied as a nitrogen source material and decomposed on a surface of the substrate.

91. A crystal growth method according to claim 72,
wherein crystal growth is performed over an underlying
(substrate) crystal which does not include nitrogen as
5 a principal element.

92. A crystal growth method according to claim 91,
wherein the underlying (substrate) crystal is selected
from GaAs, InP, GaP, GaSb, and Si.
10

93. A semiconductor device comprising a semiconductor
layer formed by the crystal growth method of claim 72.

94. A semiconductor device according to claim 93, wherein
15 the semiconductor device is a light emitting element, and
the semiconductor layer forms a light emitting layer
thereof.

95. A semiconductor device comprising a semiconductor
20 layer formed by the crystal growth method of claim 84.

96. A semiconductor device according to claim 95, wherein
the semiconductor device is a light emitting element, and
the semiconductor layer forms a light emitting layer

thereof.

97. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 86.

5

98. A semiconductor device according to claim 97, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

10

99. A method for forming a semiconductor microwire structure wherein:

the crystal growth method of claim 82 is used when forming a semiconductor microstructure having a periodically-positioned wire pattern;

15

a diffraction grating is formed by the step of etching the layered structure while masking a portion of the layered structure such that the first semiconductor layer is exposed in a portion of an etched surface; and

20

a periodical wire structure is formed at a $1/2$ of the pitch of the diffraction grating by the step of supplying ammonium to the etched surface while heating the layered structure such that at least a portion of a constituent element in the first semiconductor layer is

substituted with nitrogen.

100. A method for forming a semiconductor microwire structure according to claim 99, wherein the wire structure is an absorptive diffraction grating section of a gain-coupled distributed feedback semiconductor laser having an absorptive diffraction grating, or a quantum wire.
101. A method for forming a semiconductor microwire structure according to claim 99, wherein ammonium in an undecomposed state is supplied as a nitrogen source material and decomposed on a surface of the substrate.
102. A method for forming a semiconductor microwire structure according to claim 99, wherein crystal growth is performed over an underlying (substrate) crystal which does not include nitrogen as a principal element.
103. A method for forming a semiconductor microwire structure according to claim 102, wherein the underlying (substrate) crystal is selected from GaAs, InP, GaP, GaSb, and Si.

104. A system which uses the semiconductor device of claim 93.

105. A system which uses the semiconductor device of
5 claim 95.

106. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 88.

107. A semiconductor device according to claim 106,
10 wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

108. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 89.
15

109. A semiconductor device according to claim 108,
wherein the semiconductor device is a light emitting
20 element, and the semiconductor layer forms a light emitting layer thereof.

110. A semiconductor device comprising a semiconductor layer formed by the crystal growth method of claim 90.

111. A semiconductor device according to claim 110,
wherein the semiconductor device is a light emitting
element, and the semiconductor layer forms a light
5 emitting layer thereof.

112. A semiconductor device comprising a semiconductor
layer formed by the crystal growth method of claim 91.

10 113. A semiconductor device according to claim 112,
wherein the semiconductor device is a light emitting
element, and the semiconductor layer forms a light
emitting layer thereof.

Our Ref.: 829-585
F5-0179644

U.S. PATENT APPLICATION

Inventor(s): Koji TAKAHASHI
Yoshitaka TOMOMURA
Hidenori KAWANISHI

Invention: CRYSTAL GROWTH METHOD, PRODUCTION METHOD OF
SEMICONDUCTOR MICROSTRUCTURE, SEMICONDUCTOR DEVICE,
AND SYSTEM

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SPECIFICATION

22/pst

- 1 -

DESCRIPTION

CRYSTAL GROWTH METHOD,
PRODUCTION METHOD OF SEMICONDUCTOR MICROSTRUCTURE,
5 SEMICONDUCTOR DEVICE, AND SYSTEM

TECHNICAL FIELD

10 The present invention relates to a method for growing a crystal of a semiconductor material in which nitrogen is added to a crystal or nitrogen is crystallized into a mixed crystal, and to a structure of a semiconductor device using a semiconductor material in which nitrogen is added to a crystal or nitrogen is crystallized into
15 a mixed crystal. Furthermore, the present invention relates to a system using such a semiconductor device.

BACKGROUND ART

20 In recent years, a III-V compound mixed crystal semiconductor material including a V group element other than nitrogen (arsenic, phosphorus, antimony, etc.) and several percentages of nitrogen, both of which are included therein as V group compositions, has been

proposed as a new material system which significantly enlarges the applicable field of a III-V compound semiconductor. Nitrogen and V group elements other than nitrogen, such as arsenic, phosphorus, antimony, etc., are widely different in atomic radius (nitrogen: 0.070nm, arsenic:0.118nm, phosphorus:0.110nm, antimony:0.136nm) and electronegativity (nitrogen: 3.5, arsenic:2.4, phosphorus:2.5, antimony:2.1). Thus, unique physical properties are obtained by crystallizing nitrogen and a V group element other than nitrogen into a mixed crystal.

For example, in the case of GaInNAs (nitrogen composition: several percentages) which is a mixed crystal formed from GaInAs and several percentages of GaInN which has an energy bandgap larger than that of GaInAs, since bowing of change of the energy bandgap caused by the crystallization into a mixed crystal is very large, the energy bandgap abruptly becomes narrow even if several percentages of a component having a large energy bandgap is crystallized into the mixed crystal. GaInNAs obtained in such a way can be used for an emission layer of a light emitting device which lattice-matches with a low-cost, high-quality GaAs substrate and which emits light at 1.3 μ m, 1.55 μ m, or longer wavelength which

is important for optical fiber transmission. This material is industrially significant.

The above discussions are specifically described
5 in Japanese Laid-Open Publication No. 6-37355 (first conventional example), PHOTONICS TECHNOLOGY LETTERS, VOL.10, NO.4, APRIL 1998, Page.487 (second conventional example).

10 In the first conventional example, a mixed crystal of $\text{Ga}_{1-z}\text{In}_z\text{N}_z\text{As}_{1-z}$ ($z: -0.04$) system is proposed as a new semiconductor material which lattice-matches with a GaAs substrate. It is disclosed that a semiconductor laser which operates within a long wavelength band (1.3-
15 1.55 μm) can be formed on a GaAs substrate, which is conventionally impossible.

In the second conventional example, in a semiconductor laser structure formed on a GaAs substrate,
20 an active layer is formed from a quantum well layer of $\text{Ga}_{0.7}\text{In}_{0.3}\text{N}_{0.01}\text{As}_{0.99}$ and a guiding layer of GaAs, and the active layer is sandwiched by upper and lower cladding layers of $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$. It is reported for the first time for a semiconductor laser constructed of a material system

which lattice-matches with the GaAs substrate that a room-temperature continuous-wave operation at a wavelength of 1.31 μm was achieved.

5 The reason that such a III-V compound semiconductor material including both nitrogen and a V group element other than nitrogen was not frequently employed until the recent years resides in the difficulty of growing a crystal. A mixed crystal system of nitrogen
10 and a V group element other than nitrogen (arsenic, phosphorus, antimony, etc.) includes a very large immiscible region (miscibility gap). Thus, in a thermally equilibrium state, a stable mixed crystal cannot be produced. Therefore, as a crystal growth method,
15 molecular beam epitaxial (MBE) growth method or a metal organic chemical vapor deposition (MO-CVD) method which accelerates a crystal growth in a non-equilibrium state is used. Furthermore, a crystal with high quality is produced at a relatively low temperature of 500-600°C as
20 a crystal growth temperature for a III-V compound semiconductor containing nitrogen in its composition. In general, a crystal of nitride semiconductor material only containing nitrogen as a V group element, such as GaN, is produced with high quality at a high temperature

in the vicinity of 1000°C. As compared with such a high temperature, the above temperature for crystallizing a material system containing nitrogen, even though the content thereof is very small, is a very low growth temperature.

Since such a material system containing nitrogen can be formed at a relatively low temperature range, as a nitrogen source, an organic compound containing nitrogen, such as dimethylhydrazine (DMeHy), monomethylhydrazine (MMeHy), etc., or nitrogen gas (N_2) previously dissolved and activated by forming plasma have been employed.

Jpn. J. Appl. Phys. Vol.36, No.12A, Dec 1997, page.L1572 (third conventional example) describes an example where a GaAsN crystal is formed by a MO-MBE method by using MMeHy as a nitrogen source at a substrate temperature of 500 °C. A GaInNAs crystal serving as an active layer, which was described in the second conventional example, is formed by a MBE method by using N_2 in the form of plasma as a nitrogen source at a substrate temperature of 500 °C.

In the case where such a novel compound semiconductor is used as an active layer of a semiconductor laser, such as the above-described semiconductor laser example including GaInNAs as an active layer, a superior emission characteristic cannot always be obtained as compared with an equivalent structure including as an active layer a compound semiconductor where nitrogen is crystallized into a mixed crystal. For example, the document which describes the above second conventional example reported that, in a semiconductor laser where GaInAs in which nitrogen is not crystallized into a mixed crystal is used as an active layer (quantum well) and a semiconductor laser where GaInNAs in which nitrogen is crystallized into a mixed crystal is used as an active layer which were formed so as to have a same structure, when 1% of nitrogen was crystallized into a mixed crystal, the oscillation threshold current was increased by about four times while the emission efficiency was decreased to about two thirds; on the other hand, the emission efficiency of the material where a very small amount of nitrogen is crystallized into a mixed crystal was significantly decreased.

One of the reasons for such results is that the

crystallinity of a crystal formed by a conventional crystal growth method is not sufficiently superior. Specifically, in the case where an organic compound containing nitrogen, such as dimethylhydrazine (DMeHy), monomethylhydrazine (MMeHy), etc., is employed as a nitrogen source, carbon impurities of a high concentration equal to or greater than $1 \times 10^{18} \text{cm}^{-3}$ which are derived from an alkyl group are introduced into a crystal. Furthermore, impurity such as moisture is readily introduced into these source materials themselves, and therefore, such materials cannot be industrially produced so as to have a very high purity. The emission rate of such materials of a low purity is low. Therefore, when this material is used as an active layer of a semiconductor laser, the oscillation threshold current is significantly increased. Furthermore, in the case where N_2 in the form of plasma is used as a nitrogen source, a defect due to the plasma is caused to a crystal, and accordingly, the defect density is increased. As a result, the emission efficiency is decreased.

On the other hand, it is expected that, when ammonia (NH_3) gas, which is used as a nitrogen source for the MO-CVD growth of GaN and which can be industrially

produced so as to have a high purity, is used as a nitrogen source for crystal growth of a III-V compound mixed crystal semiconductor material where nitrogen and a V group element other than nitrogen in the form of a mixed crystal are crystallized into a mixed crystal, problems such as introduction of carbon impurities and a defect caused by plasma can be avoided. However, NH_3 is a thermally stable molecule and is difficult to thermally decompose at a temperature range from 500°C to 600°C which is suitable for growing a III-V compound mixed crystal semiconductor material including nitrogen and a V group element other than nitrogen, and therefore, the efficiency of use of the material is significantly low. In the case of a MBE method which grows a crystal in a ultra-high vacuum, introducing a gas source whose efficiency of use is very low significantly deteriorates the back pressure of a vacuum chamber and therefore is not preferable.

Furthermore, as a result of the research of the present inventors, when a surface of a substrate of GaAs, GaP, GaSb, or the like, is irradiated with NH_3 gas, the efficiency of surface decomposition of NH_3 and the efficiency of surface adsorption of nitrogen are

significantly decreased as compared with a case where a surface of a nitride, such as GaN, is irradiated with NH_3 gas. More specifically, in the case where a crystal of GaN is simultaneously grown on a GaN substrate and a GaAs substrate by using NH_3 as a nitrogen source at a substrate temperature of about 700°C or more at which the efficiency of thermal decomposition of NH_3 is increased, the thickness of crystal growth found on the GaN substrate was ten times larger than that found on the GaAs substrate. This is because the surface activity against NH_3 gas on a surface of a nitride compound (GaN, InN, ...) where the V group component is nitrogen is different from that on a surface of a compound (GaAs, GaP, GaSb, ...) where the V group component is an element other than nitrogen. As a result, it was found that, when a crystal growth is performed on a crystal of a compound different from a nitride compound by using NH_3 gas as a source material, it is necessary to carefully consider the surface activity of the underlying crystal. When forming a crystal containing only several percentages of nitrogen, such as the above-described GaInNAs, the efficiency of use of the material is further decreased because the surface activity against NH_3 gas is low.

An objective of the present invention is to solve the above problems. That is, the present invention provides a crystal growth method capable of growing a crystal while keeping the efficiency of use of a nitrogen material high in the crystal growth process of a material where about several percentages of nitrogen is crystallized in a mixed crystal, for example, a III-V compound mixed crystal semiconductor material where nitrogen and a V group element other than nitrogen are crystallized, such as the above-described GaInNAs. Furthermore, the crystal growth method of the present invention can form a crystal having a high purity, a low defect density, and a superior emission characteristic. The crystal growth method of the present invention is an effective means especially when the crystal growth temperature is relatively low or when the surface activity of the underlying crystal on which a crystal is to be grown is low. Furthermore, the present invention provides a compound semiconductor device having a superior characteristic.

Further still, the present invention includes a technique which is also applicable when it is necessary to add a very small amount of nitrogen into a material,

not only when performing crystal growth of a III-V compound semiconductor including nitrogen and an element other than nitrogen as V group elements.

5

DISCLOSURE OF INVENTION

A crystal growth method according to the present invention is a method for adding or crystallizing nitrogen in a crystal, wherein ammonium (NH_3) is used as a nitrogen source material, and aluminum is added, whereby the above
10 objective can be achieved.

A crystal growth method according to the present invention is a method for adsorbing a nitrogen atom on a crystal surface, wherein ammonium (NH_3) is used as a
15 nitrogen source material, and the crystal surface includes aluminum, whereby the above objective can be achieved.

A crystal growth method according to the present invention is a method for substituting a portion of elements in a crystal surface with nitrogen atoms, wherein ammonium (NH_3) is used as a nitrogen source material, and the crystal surface includes aluminum, whereby the above
20

objective can be achieved.

Decomposition of ammonium and adsorption of
nitrogen on a crystal surface may be accelerated by
5 aluminum.

The aluminum may exist at least in an outermost
surface of a growing layer.

10 An amount of nitrogen added to a crystal, a
nitrogen composition, an amount of nitrogen adsorbed on
a crystal surface and an amount of an element in the crystal
surface which is substituted with a nitrogen atom may be
controlled based on an amount or composition ratio of
15 added aluminum.

Aluminum may be added to or crystallized in a
restricted region, whereby only in the restricted region,
nitrogen is added or crystallized, a nitrogen atom is
20 adsorbed, or an element in a crystal surface is
substituted with a nitrogen atom.

A method selected among a molecular beam epitaxial
(MBE) growth method, a metal organic molecular beam

epitaxial (MO-MBE) growth method, a gas source molecular beam epitaxial (GS-MBE) growth method, and a chemical beam epitaxial (CBE) growth method may be used.

5 Crystal growth of a III-V compound semiconductor including, as V group components, nitrogen and a V group element other than nitrogen may be performed.

10 At least one of arsenic (As), phosphorus (P), and antimony (Sb) may be selected as the V group element other than nitrogen.

15 The substrate temperature may be in a range from 450°C to 640°C.

20 A series of steps including at least steps of: supplying a III group source material including aluminum of less than one atomic layer; subsequently, supplying ammonium so as to adsorb nitrogen atoms of less than one atomic layer; and supplying a source material of a V group element other than nitrogen may be included, wherein the series of steps may be repeated one time or more.

 In the step of supplying ammonium so as to adsorb

nitrogen of less than one atomic layer, the source material of the V group element other than nitrogen may not be supplied at the same time.

5 Crystal growth may be performed over a single crystal substrate in which a {100} surface is a principal plane.

10 A series of steps including at least steps of:
forming a III-V compound crystal layer including at least
one molecular layer of aluminum; and subsequently,
supplying ammonium so as to substitute a portion of
V group atoms in the III-V compound crystal layer with
nitrogen atoms may be included, wherein the series of
15 steps may be repeated one time or more.

20 At least steps of: crystal-forming a layered structure including at least a first semiconductor layer containing aluminum and a second semiconductor layer superposed thereon; etching the layered structure while masking a portion of the layered structure such that the first semiconductor layer is exposed in a portion of an etched surface; and supplying ammonium to the etched surface while heating the layered structure such that at

least a portion of a constituent element in the first semiconductor layer is substituted with nitrogen may be included.

5 The etched surface may be a (n11)A surface (n=1, 2, 3,...).

10 In a method for forming a semiconductor microwire structure according to the present invention, the crystal growth method according to the present invention is used when forming a semiconductor microstructure having a periodically-positioned wire pattern; a diffraction grating is formed by the step of etching the layered structure while masking a portion of the layered structure
15 such that the first semiconductor layer is exposed in a portion of an etched surface; and a periodical wire structure is formed at a $1/2$ of the pitch of the diffraction grating by the step of supplying ammonium to the etched surface while heating the layered structure such that at
20 least a portion of a constituent element in the first semiconductor layer is substituted with nitrogen, whereby the above objective is achieved.

 The wire structure may be an absorptive

diffraction grating section of a gain-coupled distributed feedback semiconductor laser having an absorptive diffraction grating, or a quantum wire.

5 A semiconductor device according to the present invention includes a semiconductor layer formed by a crystal growth method according to the present invention, whereby the above objective is achieved.

10 The semiconductor device may be a light emitting element, and the semiconductor layer may form a light emitting layer thereof.

15 A surface of the single crystal substrate may be a crystal surface slanted from a (100) surface in a [011] direction (A direction) or a crystal face which is equivalent in a crystallographic sense to the slanted crystal surface.

20 The slant angle may be within a range equal to 2° or more and equal to 25° or less.

One or more pairs of semiconductor layer A and semiconductor layer B may be superposed, the

semiconductor layer A including at least Al and nitrogen in its composition but not including indium in its composition, and the semiconductor layer B including at least indium in its composition but not including nitrogen in its composition.

The thickness of each of the semiconductor layers A and B is one molecular layer or more, and ten molecular layers or less.

A semiconductor device according to the present invention includes a semiconductor layer formed by the crystal growth method according to the present invention, whereby the above objective is achieved.

The semiconductor device may be a light emitting element, and the semiconductor layer may form a light emitting layer thereof.

A system according to the present invention uses a compound semiconductor device according to the present invention, whereby the above objective is achieved.

Hereinafter, functions of the present invention

are described.

A crystal growth method of the present invention is a method for adding nitrogen in a crystal or crystallizing nitrogen into a mixed crystal. In the
5 crystal growth method, ammonium (NH_3) is used as a nitrogen source material, and aluminum is added. Further, the present invention relates to a method for adsorbing nitrogen atoms on a crystal surface. In this method,
10 ammonium (NH_3) is used as a nitrogen source material, and the crystal surface includes aluminum. Furthermore, the crystal growth method of the present invention is a method for substituting some of the elements on the crystal surface with nitrogen atoms. In this method, ammonium
15 (NH_3) is used as a nitrogen source material, and the crystal surface includes aluminum.

In this case, by a function of aluminum, the decomposition of ammonium and adsorption on the surface
20 are accelerated, and the introduction efficiency of nitrogen into a crystal is improved. Thus, even in a temperature range where thermal decomposition of ammonium is not sufficient, and even in a substrate surface where the surface activity against ammonium is low, the

efficiency of use of ammonium is remarkably improved. Furthermore, problems in a conventional method, such as introduction of carbon impurities and damage caused by plasma, can be avoided, and as a result, a high-quality
5 crystal with a superior emission characteristic is obtained.

Furthermore, in the present invention, a high-quality crystal with a superior emission characteristic
10 is applied to a device, especially to a light emitting device. Thus, the device characteristics, especially emission characteristic, are considerably improved, and the lifetime of the device is considerably increased. Furthermore, an application system which consumes a small
15 amount of electric power can be constructed.

BRIEF DESCRIPTION OF DRAWINGS

Figure 1 shows a structure of a cross-section of
20 a layered structure produced in examples 1-6 of the present invention and in comparative examples 1-5.

Figure 2 shows an X-ray diffraction chart of the layered structure produced in example 1 of the present

invention (where ω -scanning is performed while 2θ is fixed at GaAs (400) position).

Figure 3 shows a correlation between the amount
5 of added aluminum (aluminum composition) and the amount
of introduced nitrogen (nitrogen composition) in a
layered structure produced in examples 1-4 of the present
invention and in comparative example 1.

10 Figure 4 shows a correlation between the
substrate temperature and the amount of introduced
nitrogen (nitrogen composition) in a layered structure
produced in examples 2, 5, and 6 of the present invention
and in comparative examples 4 and 5.

15 Figure 5 shows a structure of a semiconductor
laser produced in example 11 of the present invention and
in comparative examples 6-8, which is a cross-sectional
view seen from a laser light emission end face.

20

Figure 6 shows a combination condition between
the Al composition and the N composition and a combination
condition between the Al composition and the In
composition for maintaining the oscillation wavelength

at 1.3 μm and the amount of stain at +2% regarding the composition of a AlGaInNAs quantum well active layer of a semiconductor laser produced in example 11 of the present invention and in comparative examples 6-8.

5

Figure 7 shows a MBE apparatus used in example 12 of the present invention. Part (a) is a schematic cross-sectional view seen from a side face direction of the MBE apparatus. Part (b) is a plan view of a shutter plate 33.

10

Figure 8 illustrates a production process of an optical waveguide in example 12 of the present invention. The optical waveguide is produced in the order of (a), (b), and (c).

15

Figure 9 shows one cycle of a source material supply sequence for crystal growth in examples 13-15 and in comparative example 9.

20

Figure 10 shows a correlation between the nitrogen composition in a crystal formed in examples 13-15 of the present invention and the duration of ammonium supply at step B.

Figure 11 shows one cycle of a source material supply sequence for crystal growth in example 25 of the present invention.

5

Figure 12 shows one cycle of a source material supply sequence for crystal growth in example 27 of the present invention.

10

Figure 13 shows one cycle of a source material supply sequence for crystal growth in example 28 of the present invention.

15

Figure 14 is a perspective view showing a structure of a semiconductor laser produced in example 29 of the present invention where a portion of the structure is removed for the purpose of illustrating the internal structure thereof.

20

Figure 15 is a process drawing showing a production process of a diffraction grating section in example 29 of the present invention.

Figure 16 shows a variation of an energy bandgap

when some arsenic atoms are substituted with nitrogen atoms in AlGaInAs which is a material of a buried layer in the diffraction grating section in example 29 of the present invention.

5

Figure 17 is a process drawing showing a production process of a conventional absorptive diffraction grating section.

10

Figure 18 is a side view showing a structure of a semiconductor laser produced in example 30 of the present invention, which shows a cross-section of the inside of an optical cavity for the purpose of illustrating the internal structure.

15

Figure 19 is a process drawing showing a production process of a quantum wire section in example 30 of the present invention.

20

Figure 20 shows a dependency of the nitrogen composition z in a well layer formed in example 31 and in comparative examples 11 and 12 on the slant angle of the substrate. In the drawing, a plot of solid circles which is indicated by "H" is a plot of example 31 and

comparative example 11, and a plot of open squares which is indicated by "K" is a plot of comparative example 12.

Figure 21 shows a dependency of the photoluminescence emission intensity in a single well layer formed in example 31 and in comparative examples 11 and 12 on the slant angle of the substrate. In the drawing, a plot of solid circles which is indicated by "H" is a plot of example 31 and comparative example 11, and a plot of open squares which is indicated by "K" is a plot of comparative example 12.

Figure 22 shows an optical transmission/reception module produced in example 33.

BEST MODE FOR CARRYING OUT THE INVENTION

In examples 1-4 and comparative examples 1-3, a method for crystallizing nitrogen into a mixed crystal containing aluminum in its composition by using ammonium (NH_3) as a nitrogen source so as to obtain a mixed crystal is described by illustrating specific examples.

(Example 1)

A layered structure 1 shown in Figure 1 was formed by a MBE (molecular beam epitaxy) method using a NH_3 gas source as a nitrogen source. In this structure, a layered structure includes an AlGaAs layer 3 of 1.4 μm and an AlGaAsN layer 4 of 1.4 μm on a GaAs (100) substrate 2.

In the MBE method, as source for materials other than nitrogen, i.e., Al, Ga, As, solid sources of Al metal, Ga metal, and As metal were used. Further, the beam pressures for Al, Ga, As, during crystal growth which were measured with a nude ion gauge provided at a position of the substrate were 2.7×10^{-7} torr, 4.0×10^{-7} torr, 1.0×10^{-5} torr, respectively. The feed rate of NH_3 was 5 cc/min, and the beam pressure was 3.9×10^{-6} torr. The AlGaAs layer 3 and the AlGaAsN layer 4 were formed under the same beam condition for Al, Ga, and As. A NH_3 beam was added only during growth of the AlGaAsN layer 4. The substrate temperature during the crystal growth was 550°C. Furthermore, in this case, the growth rate was 1.4 $\mu\text{m/hr}$.

20

In the formed layered structure 1, the lattice constant of each layer was measured by a double crystal X-ray diffraction method so as to obtain a nitrogen composition. Figure 2 is an X-ray diffraction of the

formed layered structure. The measurement was carried out by a ω scan method while 2θ was fixed at the Bragg angle of GaAs (400). A peak 10 is a diffraction from a (400) face of the GaAs substrate, a peak 11 is a diffraction from a (400) face of the AlGaAs layer 3, and a peak 12 is a diffraction from a (400) face of the AlGaAsN layer 4.

From this result, it was found that the layer 4 contains nitrogen crystallized in a mixed crystal, and a superior single crystal film having a lattice constant smaller than that of the GaAs substrate and the layer 3 was obtained therein. It was also found from the difference in the diffraction angle between the peak 10 and the peak 11 that the Al composition in the layer 3 was 60%. Furthermore, the nitrogen composition in layer 4 was calculated from the difference in the diffraction angle between the peak 11 and the peak 12 under the assumption that the Al composition is the same in the layer 3 and the layer 4. As a result, it was found that nitrogen was crystallized in a mixed crystal at a composition of 1.5%. Furthermore, the nitrogen composition in the layer 4 accorded to a result of the measurement by a SIMS method.

(Examples 2-4)

Samples which have the same structure as that shown in Figure 1 except that the Al composition in the AlGaAs layer 3 and the AlGaAsN layer 4 is 10% (example 2), 30% (example 3), and 100% (example 4) were formed. Herein, in order to form samples having a different Al composition while the growth rate was kept equal to that of example 1 (1.4 $\mu\text{m/hr}$), the Al beam pressure and the Ga beam pressure for forming each sample were suitably adjusted. Specifically, in example 2, the Al beam pressure was set to 4.6×10^{-8} torr, the Ga beam pressure was set to 8.1×10^{-7} torr. In example 3, the Al beam pressure was set to 1.4×10^{-7} torr, the Ga beam pressure was set to 6.3×10^{-7} torr. In example 4, the Al beam pressure was set to 4.5×10^{-7} torr.

The other growth conditions, such as the feed rate of NH_3 and As_4 , the growth temperature, etc., are the same as those of example 1.

The nitrogen composition in the samples formed in such a way were measured by the same method as that used in example 1 including the double crystal X-ray

diffraction method. The nitrogen composition in example 1, example 2, and example 3 were 0.3%, 0.7%, and 2.5%, respectively.

5 (Comparative Example 1)

A sample which has the same structure as that shown in Figure 1 except that the Al composition in the AlGaAs layer 3 and AlGaAsN layer 4 is 0% was formed under the same growth conditions of, such as, the feed rate of NH_3 , and As_4 , the growth temperature, etc. That is, the AlGaAs
10 layer 3 is GaAs, and the layer 4 is GaAsN.

The nitrogen composition in the sample formed in such a way was measured by the same method as that used
15 in example 1 including the double crystal X-ray diffraction method. In the X-ray diffraction, it was not found that nitrogen was crystallized in a mixed crystal as a component thereof. The nitride concentration in the layer 4 measured by a SIMS method was $2.2 \times 10^{17} \text{ cm}^{-3}$ (0.001%
20 in composition). Thus, it was found that nitrogen cannot be introduced in a crystal to such an amount that influences the lattice constant and the band structure.

(Comparative Examples 2 and 3)

Samples having the same structure as that shown in Figure 1 and including the GaAs layer 3 and the GaAsN layer 4 which are the same as those included in comparative example 1 were formed by using DMeHy (comparative example 2) and N₂ in the form of plasma (comparative example 3) as a nitrogen source. The flow rate of DMeHy in comparative example 2 was 2 cc/min (the beam pressure at the substrate position was 2.4×10^{-6} torr). In comparative example 3, the flow rate of N₂ was 0.3 cc/min, and the plasma power was 200 W.

The nitrogen composition in the samples formed in such a way were measured by the same method as that used in example 1 including the double crystal X-ray diffraction method. The nitrogen composition in example 2 and example 3 were 1.6% and 1.2%, respectively.

Hereinafter, a function and an effect of the present invention are described with reference to examples 1-4 and comparative examples 1-3.

Although all of examples 1-4 and comparative example 1 use NH₃ as a source material in an attempt to crystallize nitrogen into a mixed crystal, however, in

examples 1-4, nitrogen introduced into a solid phase is several hundreds to several thousands times larger as compared with comparative example 1. The reason that the nitrogen composition is significantly low in comparative example 1 is that NH_3 is a stable molecule, and the thermal decomposition efficiency or the surface adsorption efficiency of the NH_3 material is significantly low at a substrate temperature of 550 °C. Furthermore, on the surface of GaAsN where the density of nitrogen to be grown is low, the surface activity against NH_3 is significantly low as compared with a surface of a nitride compound. Therefore, the thermal decomposition efficiency or the surface adsorption efficiency of the source material is further decreased. Furthermore, even when the feed rate of NH_3 was increased by 10 times as compared with example 1, the amount of introduced nitrogen was not increased in proportion to the feed rate of NH_3 .

On the other hand, in examples 1-4 where Al is added in the layer 4, nitrogen is crystallized in a mixed crystal on the composition order although NH_3 was supplied on the same conditions as those in comparative example 1. Furthermore, the content of nitrogen linearly increases in proportion to the increase of the Al composition even

when the feed rate of NH_3 is the same. This is caused because, when Al exists on the surface of a crystal, NH_3 , whose efficiency of use of a source material is low, is subjected to a cracking-catalytic effect of Al, and the decomposition thereof is accelerated into an active state, or a chemical species generated by the decomposition of NH_3 is readily adsorbed on a surface of a growing layer via Al. As a result, the efficiency of use of the NH_3 material is significantly increased on the order of several hundreds to several thousands of times according to the amount of added Al.

That is, when nitrogen is introduced into a crystal by using NH_3 as a nitrogen source, adding Al to the crystal is effective in accelerating the decomposition of NH_3 and the adsorption of nitrogen and significantly increasing the efficiency of use of the source material.

Furthermore, from Figure 3 where the composition of added Al and the composition of introduced N are shown, it is found as to the crystals formed in examples 1-4 that, even when the introduction efficiency of nitrogen is changed according to the amount of added Al and the feed

rate of NH_3 is constant, the N composition can be controlled by adjusting the amount of added Al over a wide range from 0.001% to 2.5%.

- 5 On the other hand, in example 2 where DMeHy is used as a nitrogen source, the decomposition temperature of the source material is low; and in example 2 where N_2 in the form of plasma is used as a nitrogen source, the source material is previously decomposed/activated. Thus,
- 10 nitrogen can be crystallized into a mixed crystal without adding Al on the composition order, which cannot be achieved when NH_3 is used. Furthermore, the efficiency of use of the source material is high. However, a result of the measurement of the residual impurity density and
- 15 the etch pit density in the layer 4 formed in example 1 and comparative examples 2 and 3 by a SIMS method are shown in Table 1.

[Table 1]

	Example 1	Comparative Example 2	Comparative Example 3
Residual carbon impurity concentration	$<1 \times 10^{16} \text{ cm}^{-3}$ (equal to or smaller than SIMS measurement lower limit)	$2 \times 10^{18} \text{ cm}^{-3}$	$<1 \times 10^{16} \text{ cm}^{-3}$ (equal to or smaller than SIMS measurement lower limit)
Etch bit density	$- 2 \times 10^3 \text{ cm}^{-2}$	$- 7 \times 10^4 \text{ cm}^{-2}$	$- 6 \times 10^5 \text{ cm}^{-2}$

That is, when DMeHy is used as a source material, a carbon impurity having a high concentration is mixed into the layer 4, and thus, only a film having a low purity can be obtained. In the case where radicalized N_2 is used, only a film having a high defect density can be obtained due to damage caused by plasma. With these films, a high gain cannot be expected when it is applied to an active layer of a semiconductor laser. On the other hand, in the case where NH_3 is used as a source material, where surface decomposition/adsorption is accelerated by Al, it is found that a film containing nitrogen and arsenic with a small residual impurity density and a low defect

density in a mixed crystal can be obtained, and deterioration of the crystallinity caused by the crystallization of nitrogen into a mixed crystal does not occur.

5

As described above, when forming a crystal containing nitrogen and a V group element other than nitrogen, NH_3 is used as a nitrogen source, and the decomposition and adsorption of NH_3 are assisted by Al, whereby the efficiency of use of NH_3 is significantly increased. As a result, a crystal with a small residual impurity and a low defect density as compared with a conventional method can be obtained.

The features of the present invention as to a correlation between the amount of added Al and introduction of nitrogen have been described while the substrate temperature is fixed to 550°C in examples 1-4 and comparative example 1. In examples 5 and 6 and comparative examples 4 and 5, the features of the present invention as to the substrate temperature will be described while NH_3 is used as a nitrogen material, and the efficiency of use of NH_3 is improved by Al.

(Examples 5 and 6)

Samples which have the same structure as that shown in Figure 1 except that the Al composition in the AlGaAs layer 3 and the AlGaAsN layer 4 is 10% as in example 2, and the substrate temperature is set to 640°C (example 5) and 450°C (example 6) were formed. The other growth conditions were the same as those in example 2.

The nitrogen composition in the samples formed in such a way were measured by the same method as that used in example 1 including the double crystal X-ray diffraction method. The nitrogen composition in example 5 and example 6 were 0.9% and 0.2%, respectively. Furthermore, these values accorded to a result of the measurement by a SIMS method.

(Comparative Examples 4 and 5)

Samples which have the same structure as that shown in Figure 1 except that the Al composition in the AlGaAs layer 3 and the AlGaAsN layer 4 is 10% as in example 2, and the substrate temperature is set to 680°C (comparative example 4) and 400°C (comparative example 5) were formed. The other growth conditions were the same as those in example 2.

Performing a structural analysis on the sample formed in comparative example 4 by an X-ray diffraction method, it was found that the phase of the layer 4 was
5 separated into an AlGaAsN phase and an AlGaN phase.

The nitrogen composition in the sample formed in comparative example 5 was measured by the same method as that used in example 1 including the double crystal X-ray
10 diffraction method. It was not found by the X-ray diffraction that nitrogen is crystallized in a mixed crystal as a component thereof. Measuring the nitrogen content by a SIMS method, the atomic density of nitrogen in the layer 4 was $5 \times 10^{17} \text{ cm}^{-3}$. Thus, it was found that
15 nitrogen cannot be introduced in a crystal to such an amount that influences the lattice constant and the band structure.

Hereinafter, a function and an effect of the
20 present invention are described with reference to examples 2, 5 and 6 and comparative examples 4 and 5.

The features of the present invention as to a correlation between the substrate temperature and the

nitrogen composition indicated in examples 2, 5 and 6 and comparative example 4 are shown in Figure 4 altogether. It is clear from Figure 4 that introduction of nitrogen deeply depends on the substrate temperature, and that it is possible to crystallize nitrogen into a mixed crystal on the composition order in a temperature substrate range of 450°C or more. This reflects the temperature dependency of a catalytic characteristic of aluminum which functions as a cracking catalyst for NH_3 . However, it is understood that the substrate temperature of 680°C or higher is undesirable because a nitride phase of AlGaIn or the like, which is readily generated at a high temperature, is mixed and causes phase separation.

In examples 1-6, the features of the present invention are described as to crystal growth of a compound semiconductor containing arsenic and nitrogen as V group elements. In examples 7-10, phosphorus or antimony and nitrogen are contained as V group elements.

(Example 7)

In this example, NH_3 is used as a nitrogen source to grow on a GaAs substrate a crystal of an $\text{Al}_{0.05}\text{Ga}_{0.43}\text{In}_{0.52}\text{P}_{0.97}\text{N}_{0.03}$ layer which lattice-matches with

the GaAs substrate. For crystal growth, a gas source MBE method is used; Al metal is used as an Al source (beam intensity: 2.5×10^{-8} torr); Ga metal is used as a Ga source (beam intensity: 2.2×10^{-7} torr); In metal is used as an In source (beam intensity: 2.6×10^{-7} torr); and P metal is used as a P source (beam intensity: 5.0×10^{-6} torr). Herein, a gas source is only the NH_3 gas (beam intensity: 3.0×10^{-6} torr) which is used as a N source. The growth temperature is 550°C . In a crystal layer having a thickness of $1 \mu\text{m}$, the lattice constant and the content of each element were measured. Without Al, the decomposition/adsorption efficiency of NH_3 was significantly small, and accordingly, nitrogen was not crystallized into a mixed crystal on the composition order such as to give an influence to the lattice constant and the energy bandgap. On the other hand, when Al is added, the decomposition/adsorption efficiency of NH_3 was increased by about 100 times, and as a result, a mixed crystal containing 3% of nitrogen was formed. The formed crystal did not contain a carbon impurity, had a small etch pit density, and exhibited superior crystallinity.

(Example 8)

In this example, NH_3 is used as a nitrogen source

to grow on an InP substrate a crystal of an $\text{Al}_{0.05}\text{In}_{0.95}\text{As}_{0.014}\text{P}_{0.961}\text{N}_{0.025}$ layer which lattice-matches with InP. For crystal growth, a gas source MBE method is used; Al metal is used as an Al source (beam intensity: 3.1×10^{-8} torr); In metal is used as an In source (beam intensity: 5.7×10^{-7} torr); AsH_3 gas is used as an As source (beam intensity: 1.5×10^{-7} torr); and PH_3 gas is used as a P source (beam intensity: 1.0×10^{-5} torr). As a N source, NH_3 gas (beam intensity: 4.0×10^{-6} torr) is used. The growth temperature is 550°C . In a crystal layer having a thickness of $1 \mu\text{m}$, the lattice constant and the content of each element were measured. Without Al, the decomposition/adsorption efficiency of NH_3 was significantly small, and accordingly, nitrogen was not crystallized into a mixed crystal on the composition order such as to give an influence to the lattice constant and the energy bandgap. On the other hand, when Al is added, the decomposition/adsorption efficiency of NH_3 was increased by about 100 times, and as a result, a mixed crystal containing 2.5% of nitrogen was formed. The formed crystal did not contain a carbon impurity, had a small etch pit density, and exhibited superior crystallinity.

(Example 9)

In this example, NH_3 is used as a nitrogen source to grow on a Si slanted substrate a crystal of an $\text{Al}_{0.15}\text{Ga}_{0.85}\text{P}_{0.978}\text{N}_{0.022}$ layer which lattice-matches with Si.

5 For crystal growth, a gas source MBE method is used; Al metal is used as an Al source (beam intensity: 6.0×10^{-8} torr); Ga metal is used as a Ga source (beam intensity: 3.4×10^{-7} torr); and PH_3 gas is used as a P source (beam intensity: 6.0×10^{-6} torr). As a N source, NH_3 gas (beam

10 intensity: 6.0×10^{-6} torr) is used. The growth temperature is 600°C . In a crystal layer having a thickness of $1 \mu\text{m}$, the lattice constant and the content of each element were measured. Without Al, the decomposition/adsorption efficiency of NH_3 was

15 significantly small, and accordingly, nitrogen was not crystallized into a mixed crystal on the composition order such as to give an influence to the lattice constant and the energy bandgap. On the other hand, when Al is added, the decomposition/adsorption efficiency of NH_3 was

20 increased by about 100 times, and as a result, a mixed crystal containing 2.2% of nitrogen was formed. The formed crystal did not contain a carbon impurity, had a small etch pit density, and exhibited superior crystallinity.

(Example 10)

In this example, NH_3 is used as a nitrogen source to grow on a GaAs substrate a crystal of an $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}_{0.803}\text{Sb}_{0.142}\text{N}_{0.055}$ layer which lattice-matches with GaAs. For crystal growth, a gas source MBE method is used; Al metal is used as an Al source (beam intensity: 2.4×10^{-7} torr); Ga metal is used as a Ga source (beam intensity: 5.6×10^{-7} torr); As metal is used as an As source (beam intensity: 1.0×10^{-5} torr); and Sb metal is used as a Sb source (beam intensity: 1.8×10^{-6} torr). Herein, a gas source is only the NH_3 gas (beam intensity: 8.0×10^{-6} torr) which is used as a N source. The growth temperature was 550°C . In a crystal layer having a thickness of $1 \mu\text{m}$, the lattice constant and the content of each element were measured. Without Al, the decomposition/adsorption efficiency of NH_3 was significantly small, and accordingly, nitrogen was not crystallized into a mixed crystal on the composition order such as to give an influence to the lattice constant and the energy bandgap. On the other hand, when Al is added, the decomposition/adsorption efficiency of NH_3 was increased by about 100 times, and as a result, a mixed crystal containing 5.5% of nitrogen was formed. The

formed crystal did not contain a carbon impurity, had a small etch pit density, and exhibited superior crystallinity.

5 In examples 7-10, the decomposition and
adsorption of NH_3 are assisted by Al, whereby the
efficiency of use of NH_3 is significantly increased. As
a result, a crystal with a small residual impurity and
a low defect density can be obtained. Such a crystal can
10 be obtained not only when the V group element other than
nitrogen is arsenic but also when the V group element
other than nitrogen is phosphorus, antimony, or a
combination thereof.

15 Hereinafter, example 11 and comparative
examples 6-8 where the crystal growth method described
above was used for the production of a light emitting
element are described in detail.

20 (Example 11)

In this example, a semiconductor laser 20 which
emits light at a wavelength of $1.3 \mu\text{m}$ was produced as shown
in Figure 5. The wavelength of $1.3 \mu\text{m}$ is a wavelength at
which the wavelength dispersion of a quartz-based optical

fiber is minimum and which is significant in optical transmission with an optical fiber. The semiconductor laser 20 includes a n-type GaAs substrate 21 (300 μm); a n-type GaAs buffer layer 22 (0.5 μm); a n-type $\text{Al}_{0.4}\text{Ga}_{0.6}\text{As}$ lower cladding layer 23 (1 μm); an undoped $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}$ guiding layer 24a (0.1 μm); an undoped $\text{Al}_{0.1}\text{Ga}_{0.58}\text{In}_{0.32}\text{N}_{0.019}\text{As}_{0.981}$ well layer 25 (+2% of compressive strain, 6 nm); an undoped $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}$ guiding layer 24b (0.1 μm); a p-type $\text{Al}_{0.4}\text{Ga}_{0.6}\text{As}$ upper cladding layer 26 (1 μm); a p⁺-type GaAs contact layer 27 (0.5 μm); a nitride silicon current confinement layer 28; and a AuGe electrode metal 29a and a AuZn electrode metal 29b which sandwich the above layered structure.

The above semiconductor structure was produced by CBE (chemical beam epitaxial growth) method using TMAl (trimethylaluminum), TMGa (trimethylgallium), TMIn (trimethylindium), AsH₃ (arsine), NH₃ (ammonium) as an Al source material, a Ga source material, an In source material, an As source material, and a N source material. The above semiconductor structure can also be produced by a MO-MBE (metalorganic molecular beam epitaxial growth) method where solid arsenic (As₄ or As₂) is used instead as an As source material, a GS-MBE (gas source

molecular beam epitaxial growth) method where solid source material is used instead as a III group material, or a MBE method where an As source material and a III group material are solid materials, and only a N source material is a gas material, i.e., NH_3 gas, as in example 1. The conduction type of the cladding layers and the contact layer was controlled by Si-doping using solid Si as a source material when a n-type layer was produced and by Be-doping using solid Be as a source material when a p-type layer was produced. The substrate temperature during crystal growth was 640°C , and the growth rate was $1\text{ }\mu\text{m/hr}$. When the $\text{Al}_{0.1}\text{Ga}_{0.98}\text{In}_{0.32}\text{N}_{0.619}\text{As}_{0.981}$ well layer 25 was formed, the flow rate of NH_3 was set to 5 cc/min . In order to assist the decomposition and adsorption of NH_3 , Al is added at a composition of 10%.

The thus-produced semiconductor laser 20 is continuously oscillated at a wavelength of $1.31\text{ }\mu\text{m}$ at room temperature. In this case, the oscillation threshold current was 15 mA in the case of a ridge-type waveguide having a width of $2.5\text{ }\mu\text{m}$. Further, the characteristic temperature (T_0) was 175 K . Furthermore, in the semiconductor laser element, as a result of an aging test at 85°C and 10 mW , no deterioration was found for

10,000 hours or more.

(Comparative Example 6)

5 A semiconductor laser having the same structure
as that of example 11 was formed by the same method as
that used in example 11 except that Al is not added in
the well layer 25. A nitrogen source material was NH_3 .
In this example, even when the NH_3 material was supplied
to the same amount as that used in example 11 during the
10 formation of the well layer 25, only about 0.002% of
nitrogen was crystallized in a mixed crystal. This is
because the decomposition/adsorption efficiency of NH_3
was significantly low. The oscillation wavelength of the
laser was 1.08 μm .

15

(Comparative Examples 7 and 8)

A semiconductor laser having the same structure
as that of example 11 was produced using DMeHy
(comparative example 7) or N_2 in the form of plasma
20 (comparative example 8) as a nitrogen source by the same
method as that used in example 11 except that Al was not
added in the well layer 25. Not as in comparative
example 6, nitrogen was crystallized into a mixed crystal
without adding Al in the well layer 25. The produced

semiconductor laser was continuously oscillated at a wavelength of 1.31 μm at room temperature by adjusting the composition of the well layer 25 to $\text{Ga}_{0.7}\text{In}_{0.3}\text{N}_{0.01}\text{As}_{0.99}$. In this case, in the case of a ridge-type waveguide having
5 a width of 2.5 μm , the oscillation threshold current was 37 mA in comparative example 7 and 32 mA in comparative example 8. Further, the characteristic temperature (T_0) was 85 K in comparative example 7 and 90 K in comparative example 8. Furthermore, in the semiconductor laser
10 element, as a result of an aging test at 85°C and 10 mW, both in comparative examples 7 and 8, the operation current is increased by 20% or more at about 500 hours, a significant deterioration was found.

15 Hereinafter, a function and an effect of the present invention are described with reference to example 11 and comparative examples 6-8.

Both in example 11 and comparative example 6, NH_3
20 is used as a nitrogen source for forming the quantum well layer 25. However, in comparative example 6 where Al is not added, a sufficient amount of nitrogen was not crystallized in a mixed crystal. In this case, the nitrogen composition is about 0.002% (atomic density: 4.4

$\times 10^{17} \text{ cm}^{-3}$). At this level, influence on the band structure and the lattice constant is substantially nothing. Even when the feed rate of NH_3 is increased so as to be 10 times larger than that in comparative
5 example 6, the amount of nitrogen introduced was not increased in proportion to the feed rate of NH_3 , and exhibited a tendency to saturate.

In order to achieve a laser oscillation at a
10 wavelength of $1.3 \mu\text{m}$ which is important in optical transmission using an optical fiber, it is necessary to narrow the energy bandgap by increasing the nitrogen composition to about 1-2%. However, such a crystal cannot be formed only by using NH_3 as a nitrogen source. On the
15 other hand, in example 11 where Al of a low composition is added, even if the feed rate of NH_3 is the same as that when Al is not added, about 1000 times larger an amount of nitrogen is crystallized in a mixed crystal. As in
20 example 1, this is because addition of Al accelerates the decomposition of NH_3 and the adsorption of nitrogen and significantly increases the efficiency of use of the source material. The present invention is the first one that can produce an active layer with a superior crystallinity which emits light at a wavelength of $1.3 \mu\text{m}$.

On the other hand, in comparative example 7 using DMeHy which can be decomposed at a low temperature, and in comparative example 8 using radicalized N_2 , laser oscillation at a wavelength of $1.3 \mu m$ can be achieved by crystallizing nitrogen in a mixed crystal. However, a large amount of carbon residual impurities exists in the well layer 25 (comparative example 7) or numerous crystal defects are formed due to damage caused by plasma (comparative example 8), and accordingly, a laser element which has a sufficient optical gain and deterioration resistance as compared with example 11 cannot be obtained.

When Al is crystallized in a mixed crystal in the active layer (well layer 25), the energy bandgap is expanded along with the crystallization of Al into a mixed crystal, and accordingly, the oscillation wavelength becomes short. In example 11, the compositions of nitrogen and indium are adjusted such that the expansion of the energy bandgap along with the crystallization of Al into a mixed crystal is cancelled, and the lattice constant does not change. Figure 6 shows a relationship between the Al composition and the nitrogen composition

for obtaining a wavelength of $1.3\ \mu\text{m}$ and the indium composition. In this case, the amount of compressive strain in the well layer is kept at 2%. In the case of example 11 where the Al composition is 10%, the oscillation wavelength may be kept the same by increasing the nitrogen composition by about 1.7 times and increasing the indium composition by about 1.07 times as compared with comparative examples 7 and 8 where Al is not crystallized in a mixed crystal. In example 11, a semiconductor laser which emits light at a wavelength of $1.3\ \mu\text{m}$ has been described. However, any wavelength can be selected by adjusting the composition of the active layer according to the application of the semiconductor laser, such as $1.2\ \mu\text{m}$, $1.55\ \mu\text{m}$, etc. Furthermore, an edge-emission semiconductor laser having a Fabry-Perot optical cavity has been described, but a distributed feedback semiconductor laser, a distributed Bragg reflector semiconductor laser, a vertical cavity surface emitting laser, an optical amplifier, a light emitting diode, etc., can be produced similarly as described above.

Furthermore, as for the structure of the active layer, a strained quantum well type having compressive strain has been described, but any direction of strain

and any amount of strain can be freely selected. Furthermore, the active layer may be any of a bulk active layer, a quantum wire active layer, and a quantum box active layer. The crystal growth method for a semiconductor device structure such as these semiconductor lasers is not limited to a method described in example 11. It is needless to say that methods described in other examples can provide equivalent effects.

10

In example 12, as an application of the previously-described crystal growth method, a method for selectively crystallizing nitrogen in a specific region, and an application example thereof to a device are described in detail.

15

(Example 12)

In this example, an optical waveguide was produced such that a core layer is two-dimensionally buried in a semiconductor by a single crystal growth by utilizing a fact that, when NH_3 is used as a nitrogen source material to form a mixed crystal containing As and N as V group elements, the amount of nitrogen to be introduced into the mixed crystal is changed on the order of 100-

20

1000 times based on whether Al is added or not.

The production method employed is a MBE method which is same as the MBE method used in example 1 in that a solid source is used as a III group material, and a NH_3 gas source is used as a nitrogen source material, but specifically the production method employed is a GS-MBE (gas source MBE) method which is different from the MBE method used in example 1 in that a AsH_3 gas source is used as an As source material. It should be noted that even when solid As is used as in example 1, the same result is obtained. The substrate is a (100) face of GaAs, and the growth temperature is 640°C . The major difference from example 1 is that a shutter plate 33 with a rectangular opening 34 having a width of $20\text{ }\mu\text{m}$ and a length of 1 mm (Figure 7(b)) which allows only a portion of an Al beam to pass therethrough is provided between a K cell 31 for supplying an Al source material and the GaAs substrate 37. There is no shutter plate provided for a K cell 32 for supplying source materials other than Al and a gas cell.

The production process of the optical waveguide is described in a step-by-step manner with reference to

a cross-sectional view shown in Figure 8. First, over the entire surface of a semi-insulative GaAs substrate 41, a GaAs buffer layer 42 of 0.5 μm and a $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}$ lower cladding layer 43 of 1 μm are formed (Figure 8(a)).

5 During the formation of the lower cladding layer, the shutter plate 33 is not used such that an Al source material is supplied over the entire surface of the substrate.

10 Next, a core layer is formed. During the formation of the core layer, an NH_3 beam is supplied, and in the meantime, the shutter plate 33 is placed between the K cell 31 and the substrate 37 while supplying Al. Only a portion of the Al beam which passed through the
15 opening 34 of the shutterplate 33 reaches a growing layer, whereby Al is supplied to a limited, stripe area 44. Other source materials, Ga, As₄, and NH_3 , are emitted over the entire surface of the substrate.

20 In this step, the decomposition and adsorption of the NH_3 beam emitted over the stripe area 44, to which Al is supplied, is accelerated, whereby nitrogen is introduced in a composition as a component thereof. On the other hand, in an area 45, nitrogen is not

introduced on the composition order from the NH_3 beam emitted over the area 45 shielded from Al. In this way, the core layer 44 was formed so as to have a thickness of $0.1 \mu\text{m}$. The composition of the stripe area 44 was

5 $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}_{0.96}\text{N}_{0.04}$. On the other hand, the composition of the area 45 which is outside of the stripe was GaAs, in which only about $5 \times 10^{17} \text{ cm}^{-3}$ of nitrogen is contained.

Next, over the resultant structure, a $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}$

10 upper cladding layer 46 of $1 \mu\text{m}$ and a GaAs cap layer 47 of $0.1 \mu\text{m}$ are formed over the entire surface of the substrate.

The distribution of refractive index in the

15 produced structure is such that the refractive index increases in the order of the upper and lower cladding layers 43 and 46 ($\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}$), a lateral confinement layer 45 (GaAs), and the core layer 44 ($\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}_{0.96}\text{N}_{0.04}$). Thus, the core layer having a higher refractive index is

20 buried by the materials having a lower refractive index. Especially in the case where nitrogen is crystallized into a III-V group mixed crystal containing a V group element other than N in its composition, a physical property that the refractive index sharply increases along with the

crystallization of nitrogen into a mixed crystal. Thus, a large refractive index distribution can be obtained by partially crystallizing a very small amount of nitrogen into a mixed crystal. It was observed in the produced optical waveguide that when light at a wavelength of 1.3 μm is supplied from outside to the core layer 44, the supplied light is two-dimensionally confined in the core layer and efficiently guided through the optical waveguide.

The shape, arrangement, number, and dimensions of the opening 34 of the shutter plate 33 used in example 12 are freely determined. With the opening 34 having any shape, arrangement, number, and dimensions, an AlGaAsN layer was selectively grown. Furthermore, a method equivalent to the above method can produce not only the optical waveguide shown in this example, but also a buried hetero laser, a quantum wire, a three-dimensionally buried quantum dot, etc.

In this example, a shutter plate having an opening is used for supplying Al to only a limited area. However, it is needless to say that an Al supply distribution can be created by any other method. It is only necessary to

partially block a molecular beam of Al by any means.

In example 12, a case where Ga is contained as a III group element in addition to Al, and, As and N are contained as V group elements, has been described. However, it is needless to say that even in a mixed crystal system containing any III group element (In, etc.) and/or any V group element (P, Sb, etc.), equivalent function and effect can be produced by supplying Al to a selected area. For example, material systems such as AlGaInNAs, AlGaInPN, AlGaInAsPN, AlGaSbAsN, AlInPAsN, or the like, can be used.

In examples 13-24 and comparative example 9, a method which can increase the amount of introduced nitrogen is described by illustrating specific examples.

(Example 13)

In this example, a method for forming a AlGaAsN crystal having a larger nitrogen composition and superior crystallinity as compared with examples 1-4 is practiced in the formation of AlGaAsN using NH_3 . In this example, the crystal growth method is the MBE method same as used in example 1, and source materials for respective

elements, a substrate temperature, a substrate used are the same as those in example 1.

In example 1, all the source materials are supplied at the same time during the formation of an AlGaAsN layer. However, in this example, source materials are alternately supplied according to a sequence shown in Figure 9. That is, during step A, only Al and Ga are supplied, and As, and NH₃ are not supplied. In this step, the beam pressures for Al and Ga are set to 1.6×10^{-8} torr and 2.8×10^{-7} torr, respectively, and the duration of supply is set to 2.0 seconds, whereby one atomic layer including Al and Ga (1:9) can be adsorbed on a surface of the substrate.

Next, at step B, only NH₃ is supplied, and Al, Ga, and As₄ are not supplied. The feed rate of NH₃ is set to 5.0 cc/min and the duration of supply is set to 10 seconds. Next, at step C, only As₄ is supplied, and Al, Ga, and NH₃ are not supplied. The beam pressure of As₄ is set to 5.0×10^{-6} torr and the duration of supply is set to 3 seconds. An Al_{0.1}Ga_{0.9}AsN layer having a thickness of 0.1 μ m was formed by repeating steps A-C a plurality of times. In the thus-formed AlGaAsN layer, the nitrogen composition

was 5.1%.

(Examples 14 and 15)

5 $\text{Al}_{0.1}\text{Ga}_{0.9}\text{AsN}$ layers each having a thickness of
0.1 μm were formed in the same manner as in example 13
except that the duration of NH_3 supply is set to 5 seconds
(example 14) or 15 seconds (example 15). In the
thus-formed AlGaAsN layer, the nitrogen composition was
2.6% in example 14 and 7.4% in example 15.

10

(Comparative Example 9)

 A GaAsN layer having a thickness of 0.1 μm was
formed in the same manner as in example 13 except that
only Ga is used as a III group element in step A. In
15 step A, the beam pressure for Ga is set to 3.3×10^{-7} torr,
and the duration of supply is set to 2.0 seconds, whereby
one atomic layer of Ga was adsorbed on a surface of the
substrate. In the thus-formed GaAsN layer, it was found
as a result of the measurement by a SIMS method that the
20 concentration of nitrogen was $5 \times 10^{18} \text{ cm}^{-3}$ (composition:
0.0022%).

 Hereinafter, functions and effects of the present
invention are described with reference to examples 13-15

and comparative example 9.

As a result of the research of the present inventors, it was found that, between a case where a NH_3 beam is applied to GaAs heated to about $450\text{--}640^\circ\text{C}$ in an ultra-high vacuum and a case where a NH_3 beam is applied to AlGaAs, the interaction between the NH_3 beam and the surface of the substrate is considerably different. More specifically, the NH_3 beam (about 3.9×10^{-6} torr) was continuously applied for a long time, such as about 60 minutes, onto the surface of the GaAs substrate at the substrate temperature of 600°C while observing the substrate surface by electron diffraction. It was found that the substrate surface was maintained to be GaAs, and nitrogen was not adsorbed thereon at all. On the other hand, it was found from a result of observation of an electron diffraction image that, when nitrogen was supplied to $\text{Al}_x\text{Ga}_{1-x}\text{As}$ ($x=0.05\text{--}1.0$), $\text{Al}_x\text{Ga}_{1-x}\text{As}$ was quickly nitrided in about several minutes to several tens of minutes, and the surface thereof was transformed into AlGaN. Furthermore, it was also found that the nitriding rate is proportional to the Al composition in the mixed crystal on the surface. Thus, when Al exists on the substrate surface which is irradiated with the NH_3 beam,

the decomposition of NH_3 and adsorption on the surface are significantly accelerated.

In examples 13-15, the formation of a AlGaAsN crystal having a higher nitrogen composition was attempted by utilizing the above-described effect of accelerating the decomposition of NH_3 and adsorption on the surface containing Al. First, at step A, the NH_3 beam was supplied while Al atoms and Ga atoms adsorbed on the substrate surface were exposed. NH_3 was decomposed on the exposed III group surface containing Al, and adsorption of nitrogen atoms occurs. When the application time of the NH_3 beam was set to a long time, such as one minute or more, it was observed by the surface observation with RHEED that an AlGaN crystal was generated on the surface. However, when the duration of supply is set to a short time as described in examples 13 and 14, a nitride was not deposited, and a certain amount of nitrogen atoms were adsorbed.

20

On the other hand, in the case where Al was not supplied in step A as in comparative example 9, even when a NH_3 beam was applied, adsorption of nitrogen atoms was not caused. Even when an application time of the NH_3 beam

was set to one hour or more, the surface where only Ga atoms were exposed was not nitrified. When the NH_3 beam was used, based on presence/absence of Al atoms on the surface, whether or not nitrogen was adsorbed was
5 determined. At subsequent step C, only As_4 was supplied, and a portion of a III group surface on which nitrogen was not adsorbed was covered with arsenic. The arsenic was adsorbed on a portion where III group atoms were exposed, and even when an excessive amount of arsenic is
10 supplied, the supplied arsenic was evaporated without being adsorbed on the surface. In this way, through steps A-C, a single molecular layer of AlGaAsN was formed, and a crystal structure having a certain thickness was obtained by repeating this cycle a plurality of times.

15

Figure 10 shows a correlation between the nitrogen composition in a sample formed in examples 13-15 of the present invention and the duration of NH_3 supply at step B. The amount of introduced nitrogen was
20 controlled by the application time of NH_3 at step B. As compared with examples 1-4 where all the source materials were supplied at the same time, the amount of introduced nitrogen was remarkably increased even with the same feed rate of source materials and at the same substrate

temperature. On the other hand, the etch pit density was not increased along with the increase in the amount of introduced nitrogen. As a result, a good crystal where phase separation did not occur was grown.

5

In examples 13-15, a crystal growth of a single molecular layer was performed during one cycle including: supply of III group materials including Al (step A); supply of NH_3 (step B); and supply of As_4 (step C).
10 However, a crystal formed during one cycle is not required to be a single molecular layer, but the formation of crystal can be performed as long as an obtained crystal is a single molecular layer or smaller. However, when the obtained crystal was larger than a single molecular
15 layer, droplets of excessive III group materials were generated at step A, and accordingly, a crystal having a coarse surface was produced.

It is needless to say that, in order to adjust the feed rate of the III group materials at step A such that
20 crystal growth stops just at a time when a single molecular layer is completed, organometallic compounds can be used as a source material for the III group materials, and a self-limiting effect can be utilized.

Furthermore, the growth steps in the above one cycle in examples 13-15 can be variously changed as shown below.

5 (Example 16)

Example 16 is a variation of example 13. A similar crystal with high quality was obtained by a method where after the supply of NH_3 at step B, at step B', a III group element (which may or may not include Al) was
10 supplied to an amount of one atomic layer or less, and at subsequent step C, As_4 was supplied.

(Example 17)

Example 17 is a variation of example 13. At
15 step B, As_4 was supplied at the same time along with NH_3 . A crystal with high quality similar to example 13 was obtained. Supplying NH_3 and As_4 at the same time at step B was effective in suppressing evaporation of As from a already-grown crystal, but the amount of nitrogen
20 introduced into a growing layer was reduced. When NH_3 and As_4 were supplied at the same time at step B, the growth of III group atoms including Al exposed on the surface ceased with As on the top surface because the adsorption probability of As_4 is higher than that of NH_3 . Thus, the

NH₃ decomposition/adsorption accelerating effect of Al was hindered, and accordingly, introduction of nitrogen was decreased.

5 (Example 18)

Example 18 is a variation of example 13. After the supply of NH₃ at step B, a suspension time of 5 seconds is provided before step C. In this case, influence of residual NH₃ in an atmosphere was reduced, and accordingly, the amount of adsorbed nitrogen was accurately controlled.

(Example 19)

Example 19 is a variation of example 13. After the supply of As₄ at step C, a suspension time of 10 seconds was provided before performing step A. In this case, influence of residual As in an atmosphere was reduced, and accordingly, the III group elements supplied at step A were uniformly adsorbed on the substrate surface. Thus, a layer was formed so as to have a superior surface state.

The features of the variations shown in examples 16-19 can be suitably combined.

(Example 20)

In example 20, the method of example 13 was employed except that three types of III group elements, Al, Ga, and In, were supplied at step A to an amount such that one atomic layer where Al:Ga:In is 5:65:30 was formed. Regarding NH_3 supplied at step B, the intensity and duration of the NH_3 supply beam were adjusted such that only 1.5% of nitrogen atoms were adsorbed on the surface. Step C is the same as in example 12. In this way, a $\text{Al}_{0.05}\text{Ga}_{0.65}\text{In}_{0.3}\text{N}_{0.015}\text{As}_{0.985}$ layer having a thickness of 6 nm was formed, and this layer is sandwiched by GaAs on the upper and lower faces thereof, whereby a high-quality single quantum well which emits light at a wavelength of 1.3 μm was formed.

(Example 21)

In example 21, the method of example 13 was employed except that the substrate was GaAs, and the substrate temperature was 550°C. Furthermore, III group elements supplied at step A were Al, Ga, and In, and a V group element supplied at step C was P. As a result, an $\text{Al}_{0.05}\text{Ga}_{0.43}\text{In}_{0.52}\text{P}_{0.97}\text{N}_{0.03}$ layer which lattice-matched with the GaAs substrate was formed with high quality.

(Example 22)

In example 22, the method of example 13 was employed except that the substrate was InP, and the substrate temperature was 550°C. Furthermore, III group elements supplied at step A were Al and In, and V group elements supplied at step C were As and P. As a result, a $\text{Al}_{0.05}\text{In}_{0.95}\text{As}_{0.014}\text{P}_{0.96}\text{N}_{0.025}$ layer which lattice-matched with InP substrate was formed with high quality.

10

(Example 23)

In example 23, the method of example 13 was employed except that the substrate was Si, and the substrate temperature was 600°C. Furthermore, a V group element supplied at step C was P. As a result, a $\text{Al}_{0.15}\text{Ga}_{0.85}\text{P}_{0.978}\text{N}_{0.022}$ layer which lattice-matched with Si substrate was formed with high quality.

15

(Example 24)

In example 24, the method of example 13 was employed except that the substrate temperature was 550°C. Furthermore, V group elements supplied at step C were As and Sb. As a result, a $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}_{0.803}\text{Sb}_{0.142}\text{N}_{0.055}$ layer which lattice-matched with GaAs substrate was formed with

20

high quality.

The crystals formed in examples 13 and 14 each had a considerably low concentration of residual carbon
5 impurity as compared with a crystal formed by a conventional method. Furthermore, each crystal was a high-quality crystal where the etch pit density is low.

Above examples 13-24 show a sequence of a crystal
10 growth method which utilizes a fact that when a III group end face including Al is formed in an outermost surface, and NH_3 is applied thereon, nitrogen atoms can be efficiently adsorbed on the surface. On the other hand, examples 25-28 below show a sequence of a crystal growth
15 method which utilizes a fact that when NH_3 is applied to an end face of V group element (V group elements other than nitrogen, such as arsenic, phosphorus, antimony, etc.) of a crystal containing Al, some of the V group elements (elements other than nitrogen, such as arsenic,
20 phosphorus, antimony, etc.) can be substituted with nitrogen.

(Example 25)

In example 25, a crystal growth method used is a

MBE method which is the same as that of example 1. Source materials for respective elements, the substrate temperature during the crystal growth, the type of a substrate used are also the same as those of example 1.

5 The source materials are supplied according to a sequence shown in Figure 11. That is, at step A, Al, Ga, and As₄ are supplied to form a thin film of AlGaAs. In this step, the beam pressures for Al, Ga, and As₄ are set to 1.6×10^{-8} torr, 2.8×10^{-7} torr, and 1.0×10^{-5} torr,

10 respectively, and the duration of supply is set to 2.0 seconds, whereby one atomic layer can be formed on a surface of the substrate. Since the As pressure is sufficiently high so that a surface superstructure of (4 × 2) is formed, an outermost surface results in as As

15 stable surface which ends with As atoms. Next, at step B, only NH₃ is supplied on the resultant As surface, and Al, Ga, and As₄ are not supplied. The feed rate of NH₃ is set to 5.0 cc/min, and the duration of supply is set to 10 seconds. In this step, some As in the outermost

20 surface is substituted with nitrogen atoms. By repeating the above steps A and B a plurality of times, a Al_{0.1}Ga_{0.9}AsN layer was formed so as to have a thickness of 0.1 μm. In the thus-formed AlGaAsN layer, the nitrogen composition was 3.0%.

(Comparative Example 10)

A GaAsN layer having a thickness of 0.1 μm was formed in the same manner as example 13 except that a GaAs
5 thin layer was formed by supplying only Ga and As₄ as the source materials supplied at step A (Figure 11) in example 25. The beam pressure for Ga at step A was set to 3.3×10^{-7} torr, and the duration of supply is set to 2.0 seconds, whereby one atomic layer of GaAs was formed
10 on a surface of the substrate. The nitride concentration in the thus-formed GaAsN layer measured by a SIMS method was $2.3 \times 10^{18} \text{ cm}^{-3}$ (0.001% in composition).

Hereinafter, a function and an effect of the
15 present invention are described with reference to example 25 and comparative example 10.

As a result of the research of the present inventors, it was found that, between a case where a NH_3
20 beam is applied to GaAs heated to about 450-640°C in an ultra-high vacuum and a case where a NH_3 beam is applied to AlGaAs, the interaction between the NH_3 beam and the surface of the substrate is considerably different. More specifically, the NH_3 beam (about 3.9×10^{-6} torr) was

continuously applied for a long time, such as about 60 minutes, onto the surface of the GaAs substrate at the substrate temperature of 600°C while observing the substrate surface by electron diffraction. It was found that the substrate surface maintained to be GaAs, and an interaction with NH_3 did not occur. On the other hand, it was found from a result of observation of a photoluminescence measurement that, when nitrogen was applied to $\text{Al}_x\text{Ga}_{1-x}\text{As}$ ($x=0.05-1.0$) for about several seconds to several tens of seconds, arsenic atoms were substituted with nitrogen atoms, whereby a $\text{Al}_x\text{Ga}_{1-x}\text{AsN}$ mixed crystal layer was formed. When a crystal containing Al is irradiated with the NH_3 beam, the decomposition of NH_3 and adsorption on the surface are significantly accelerated.

In example 25, the formation of an AlGaAsN crystal was attempted by utilizing the above-described effect of accelerating the decomposition of NH_3 and adsorption on the surface containing Al. First, at step A, an AlGaAs thin layer was formed, and at step B, the NH_3 beam was supplied to the formed layer. NH_3 was decomposed on the surface of a crystal containing Al, and arsenic atoms were substituted with nitrogen atoms. When the application

time of the NH_3 beam was set to a long time, such as one minute or more, it was observed by the surface observation with RHEED that a AlGaN crystal was generated on the surface. However, when the duration of supply is set to
5 a short time as described in example 25, a nitride was not deposited, and a certain amount of arsenic atoms were substituted with nitrogen atoms.

On the other hand, in the case where a crystal
10 layer not containing Al was formed at step A as in comparative example 10, even when a NH_3 beam was applied, substitution with nitrogen atoms were not caused. Even when an application time of the NH_3 beam was set to one hour or more, no change occurred in a (2×4) GaAs surface
15 which ends with As. When the NH_3 beam was used, based on presence/absence of Al atoms on a crystal, whether or not substitution with nitrogen occurred was determined. In this way, through steps A and B, a single molecular layer of AlGaAsN was formed, and a crystal having a certain
20 thickness was obtained by repeating this cycle a plurality of times.

(Example 26)

This example is a variation of example 25, and is

different from example 25 only in that an AlGaAs layer grown at step A includes two molecular layers. That is, the growth time at step A was set to be two times longer than that in example 25 so as to form two molecular layers, and subsequently, step B was performed, whereby arsenic atoms in AlGaAs were substituted with nitrogen atoms so as to form two molecular layers of AlGaAsN. By repeating this cycle a plurality of times, a crystal having a predetermined thickness was obtained. This was achieved by utilizing a fact that, when a AlGaAs layer is irradiated with a NH_3 beam for a short time, the substituted arsenic atoms are not limited only to arsenic atoms in the outermost surface, and nitrogen is introduced to a depth of several tens of nanometers. As a result, in the AlGaAs layer formed at step A which includes two molecular layers, in both of these two layers, arsenic atoms were substituted with nitrogen atoms, whereby a AlGaAsN crystal was obtained. This method is advantageous when forming a crystal so as to have a predetermined thickness in that the number of times the source materials are changed is reduced as compared with the method of example 25.

In this example, the AlGaAs layer formed at step A

includes two molecular layers. However, it is needless to say that the AlGaAs layer may include any number of layers, such as three molecular layers, five molecular layers, etc., so long as substitution with nitrogen is possible. Furthermore, an AlGaAs layer including 2.5 molecular layers is possible. That is, the number of molecular layers is not necessarily limited to a natural number.

10 (Example 27)

This example is a variation of example 26, and is different from example 26 in that step A in example 26 is divided into two steps A' and A" as shown in Figure 12. First, at step A', a GaAs layer of one molecular layer is grown. Subsequently, at step A", an AlGaAs layer of one molecular layer is grown. Then, step B is performed so that arsenic atoms in the GaAs layer formed at step A' and the AlGaAs layer formed at step A" are substituted with nitrogen atoms. Repeating this cycle, a crystal having a predetermined thickness can be obtained. This was achieved by utilizing a fact that, when a layer including Al (AlGaAs formed at step A") exists in the outermost surface, decomposition of NH_3 and the adsorption of nitrogen on the surface are accelerated by Al, and

accordingly, arsenic atoms included in an underlying layer not including Al (GaAs formed at step A') are also substituted with nitrogen atoms. This method is advantageous when forming a crystal having a predetermined nitrogen composition in that the amount of Al added in the crystal can be reduced, and the variation of the energy bandgap which may be caused by Al can be reduced.

10 In this example, the layers formed at step A' and step A" each include one molecular layer. However, it is needless to say that each of the layers formed at step A' and step A" may include any number of layers, such as two molecular layers, three molecular layers, etc., so long as substitution with nitrogen is possible. Furthermore, 15 a layer including 2.5 molecular layers is possible. That is, the number of molecular layers is not necessarily limited to a natural number.

20 (Example 28)

This example is a variation of example 27, and is characterized in that only an Al source material is intermittently supplied. A supply sequence of respective source materials according to this example is

shown in Figure 13. First, at step A, a Ga molecular beam, an As₄ molecular beam, and a NH₃ molecular beam, which are source materials of Ga, As, and N, respectively, are supplied to grow a GaAs layer of one molecular layer. At this step, substantially no nitrogen is introduced into a crystal. Subsequently, at step B, a Al molecular beam, a Ga molecular beam, a As₄ molecular beam, and a NH₃ molecular beam, which are source materials of Al, Ga, As, and N, respectively, are supplied to grow a AlGaAsN layer of one molecular layer. At this step, decomposition of NH₃ and the adsorption of nitrogen are accelerated by Al. Nitrogen is introduced in a crystal, and some of arsenic atoms in the underlying GaAs layer formed at step A are substituted with nitrogen atoms. By repeating this cycle a plurality of times, a crystal having a predetermined thickness was obtained. This method is advantageous when forming a crystal having a predetermined nitrogen composition in that the amount of Al added in the crystal can be reduced, and the variation of the energy bandgap which may be caused by Al can be reduced.

In this example, the layers formed at step A and step B each include one molecular layer. However, it is needless to say that each of the layers formed at step A

and step B may include other number of layers, such as two molecular layers, three molecular layers, etc. The layer formed at step A may include any number of layers so long as substitution with nitrogen is possible. Furthermore, a layer including 2.5 molecular layers is possible. That is, the number of molecular layers is not necessarily limited to a natural number.

The crystals formed in examples 25-28 each had a considerably low concentration of residual carbon impurity as compared with a crystal formed by a conventional method. Furthermore, each crystal formed was a high-quality crystal where the etch pit density is low.

In examples 25-28, a "suspension" time when no source material is supplied may be provided between respective steps in order to remove a residual source material. Further, at step B, only NH_3 gas is supplied. However, the NH_3 gas may be supplied together with other V group source material such as an arsenic source material. In such a case, excessive evaporation of arsenic atoms from a crystal surface can be suppressed. Furthermore, in each example, only the formation of a AlGaAsN crystal

has been described. However, by the same method, a crystal which includes, as V group components in its composition, nitrogen and a V group element other than nitrogen, such as a AlGaInPN crystal, a AlInAsPN crystal, a AlGaPN crystal, a AlGaAsSbN crystal, etc., can be formed. In this case, at steps A, A', and A", thin layers such as a (Al)GaInP layer, a (Al)InAsP layer, a (Al)GaP layer, a (Al)GaAsSb layer, etc., may be suitably formed, and at step B, a portion of a V group element may be substituted with nitrogen by application of NH_3 . If a layer including Al as a component of its composition has been formed immediately before step B, at step B, substitution of a V group element with nitrogen from NH_3 is accelerated.

In examples 29 and 30, an application example of the present invention to the formation of wire-shaped semiconductor microstructure is specifically described.

(Example 29)

In this example, the above-described fact that when NH_3 is applied to a semiconductor layer, substitution with nitrogen can be selectively achieved only in a layer including Al, is applied to the production of a gain-coupled distributed feedback semiconductor laser having

an absorptive diffraction grating.

In this example, crystal growth was performed by a crystal growth apparatus which is the same as that used
5 in example 1. As an In source material, In metal was added. The substrate temperature during the crystal growth was 550°C.

Hereinafter, a gain-coupled distributed feedback
10 semiconductor laser 50 shown in Figure 14 and a device structure thereof are described in detail while following the production procedure.

First, a low-resistive n-type GaAs (100)
15 substrate 51 was introduced in a MBE apparatus, and the following layers were formed by a first crystal growth operation:

- a lower cladding layer 52: n-type $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$, thickness of 1.0 μm ;
- 20 a lower guiding layer 53: undoped GaAs, thickness of 0.1 μm ;
- a well layer 54: undoped $\text{Al}_{0.1}\text{Ga}_{0.58}\text{In}_{0.32}\text{N}_{0.019}\text{As}_{0.981}$, thickness of 6 nm;
- an upper guiding layer 55: undoped GaAs, thickness

of 0.1 μm ;

a carrier block layer 56: p-type $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$,
thickness of 0.1 μm ;

a lower waveguide layer 57: p-type GaAs, thickness
5 of 0.1 μm ;

a buried layer 58: p-type $\text{Al}_{0.05}\text{In}_{0.2}\text{Ga}_{0.75}\text{As}$, thickness
of 0.03 μm ;

an upper waveguide layer 59: p-type GaAs, thickness
of 0.02 μm .

10

Herein, the material and thickness of the well
layer 54 are selected such that an active layer has a gain
at a wavelength of 1.3 μm .

15

This layered structure was taken out from the MBE
apparatus, and a rectangular diffraction grating 60
having a pitch of 0.195 μm and a depth of 0.1 μm was
engraved on a surface of the layered structure by two-beam
interference exposure and dry etching. The pitch of the
20 diffraction grating 60 was selected such that the Bragg
wavelength accords with a peak wavelength of the gain of
the active layer at room temperature. Figure 15(a) shows
a cross-section of the engraved diffraction grating 60.
A flat portion of a convex of the diffraction grating 60

is an exposed upper waveguide layer 59; and a flat portion of a concave of the diffraction grating 60 is an exposed lower waveguide layer 57. On vertically-etched side faces, side faces of the disconnected buried layer 58 of AlInGaAs are exposed.

Next, the layered structure with the diffraction grating engraved on its surface was again introduced into the MBE apparatus and heated to a substrate temperature of 550°C in an ultra-high vacuum. To the layered structure, a NH₃ gas molecular beam was applied for 10 seconds at a feed rate of 5 cc/min. At this time, over the surface of the diffraction grating, only the buried layer 58 including Al which gives a cracking-catalytic effect to NH₃, interacts with NH₃. 3% of V group atoms in a portion exposed over the surface are substituted with nitrogen atoms, and the exposed portion is transformed into AlGaInAsN having an energy bandgap of 0.82 eV to form an absorber layer 65 (Figure 15(b)). If NH₃ is applied for a long time, a portion of the buried layer 58 exposed over the surface is transformed into a nitride, i.e., AlGaInN. However, when NH₃ is applied for a short time as in this example, only some of V group atoms are substituted with nitrogen. The lower waveguide layer 57

and the upper waveguide layer 59 not containing Al do not react with NH_3 even when NH_3 is applied. As seen from Figure 15(c) showing the distribution of absorption coefficient α in the absorber layer 65, the absorber layer 65 absorbs induced emission light at a wavelength of 1.3 μm .

Subsequently, in the MBE apparatus, a second crystal growth operation was performed to form the following layers over the diffraction grating:

an upper cladding layer 61: p-type $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$, thickness of 0.5 μm ;

a contact layer 62: p⁺-type GaAs, thickness of 0.5 μm .

This resultant layered structure was taken out from the MBE apparatus, and mesa stripes each having a width of 2 μm were formed by common photolithography and wet etching along a direction perpendicular to the diffraction grating. Thereafter, the outside of the mesa stripes was buried with three current confinement layers 63a, 63b, and 63c respectively made of p-type $\text{Al}_{0.4}\text{Ga}_{0.6}\text{As}$, n-type $\text{Al}_{0.4}\text{Ga}_{0.6}\text{As}$, and p-type $\text{Al}_{0.4}\text{Ga}_{0.6}\text{As}$, and electrodes 64a and 64b were formed by vacuum deposition on the upper and lower faces of the resultant structure.

The resultant structure was cleaved so as to have a cavity length of 300 μm , thereby completing a gain-coupled distributed feedback semiconductor laser device as shown in Figure 14.

5

When a current was applied to the produced semiconductor laser device through the upper and lower electrodes 64a and 64b, the laser oscillation was performed at a threshold current of 3 mA and at a wavelength of 1.3 μm . The laser light exhibited a stable oscillation at a single wavelength.

Figure 16 shows the variation of the energy bandgap in AlGaInAs, which is the material of the buried layer, when some arsenic atoms are substituted with nitrogen atoms. When some of V group atoms in AlGaInAs is substituted with nitrogen, the energy bandgap is monotonously narrowed. When 2.1% or more of arsenic atoms are substituted with nitrogen, AlGaInAs absorbs induced emission light having a wavelength of 1.3 μm ($=0.954$ eV). On the other hand, in GaAs of the guidewave layers which are provided over and below AlGaInAs, arsenic atoms are not substituted with nitrogen atoms, and therefore, GaAs is transparent to the induced emission light having a

wavelength of $1.3\text{ }\mu\text{m}$. In the process described with reference to Figure 15, the process conditions are selected such that 3% of arsenic atoms are substituted with nitrogen atoms so as to form an absorptive diffraction grating alternately including wires which deeply absorbs induced emission light having a wavelength of $1.3\text{ }\mu\text{m}$ and transparent regions as shown in the distribution chart of absorption coefficient α of Figure 15(c).

When such wire-shaped periodical absorptive regions are provided in an optical cavity of a semiconductor laser, only a stationary wave whose absorption loss from the wire-shaped absorptive regions is minimum, i.e., only a stationary wave whose nodes are within the wire-shaped absorptive regions, selectively satisfies the oscillation condition, and as a result, a stable oscillation at a single wavelength can be achieved. Herein, it is desirable that the width of the wire-shaped absorptive regions is as narrow as possible. When the wire-shaped absorptive regions have a large width, the absorption loss in the selected stationary wave is increased, and the oscillation efficiency is largely decreased. Conventionally, in such an absorptive

diffraction grating alternately including absorptive regions and transparent regions, as shown in Figure 17, a transparent layer 66 and an absorber layer 65 are formed in the vicinity or active layer of a semiconductor laser;

5 a periodical resist mask 67 is formed by two-beam interference exposure (Figure 17(a)); the absorber layer 65 is periodically etched by wet etching so as to thin the absorber layer 65 (Figure 17(b)), whereby periodical absorption distribution of a narrow width is

10 obtained (Figure 17(c)). In general, the pitch of the diffraction grating is about 0.2 μm (first diffraction grating in a DFB-LD of 1.3-1.55 μm band). For example, when forming an absorptive diffraction grating having a duty ratio of 0.1 or less, it is necessary to control the

15 width of the absorber layer 65 to be 20nm or less. In a conventional method, the width of an absorptive diffraction grating changes according to the width of the resist mask 67 formed by a two-beam interference exposure and the extent of subsequent etching. That is, it is

20 difficult to control and uniformly form the narrow wires having a width of tens of nanometers by the process using a common resist with high reproducibility.

On the other hand, in an absorptive diffraction

grating produced in this example, the pitch of a periodical absorber layer obtained is a half of the pitch of the diffraction grating formed in the first step by etching and two-beam interference exposure. Thus, the

5 diffraction grating formed in the first step by etching and two-beam interference exposure may have a pitch two times larger as compared with the conventional method, and accordingly, the production is easier. Furthermore, the duty ratio of the diffraction grating formed in this

10 case is about 0.5, at which the diffraction grating is most readily formed by two-beam interference exposure, and a superior controllability can be obtained as compared with the conventional method. The width of the wire-shaped absorber layer (duty ratio of the absorptive

15 diffraction grating) is determined by the extent of a region where a portion of the composition of a buried layer is substituted with nitrogen (i.e., depth from a surface) so that superior reproducibility and in-plane distribution can be obtained as compared with the

20 conventional method. Furthermore, since the depth from the surface of the region where arsenic is substituted with nitrogen is 10 nm or less, an absorptive diffraction grating having a considerably small width can be obtained.

Furthermore, in this example, a rectangular diffraction grating having a vertical side faces is formed by using dry etching, whereby an absorber layer having a small width is formed. However, the diffraction grating
5 may be formed by wet etching so as to have a trapezoidal shape, a triangular shape, a sine wave shape, or the like. Specifically, by engraving a diffraction grating along a crystal direction such that a cross-section of the diffraction grating has an inverted mesa shape, a
10 rectangular diffraction grating having a vertical cross-section can be produced even by wet etching.

The thus-formed absorptive diffraction grating can form a periodical light absorbing layer having a small
15 wire width, and an excessive absorption loss in induced emission light from the absorptive diffraction grating can be sufficiently reduced. On the other hand, in an absorber layer which is formed by substituting arsenic atoms in a buried layer containing Al in its composition
20 with nitrogen atoms, the energy bandgap is abruptly narrowed by the small substitution with nitrogen, and a locally deep absorption is caused in an absorptive portion having a small wire width. Thus, such an absorber layer is necessary and sufficient for stabilizing the position

of a stationary wave in an optical cavity. As a result, a gain-coupled distributed feedback semiconductor laser which oscillates at a low threshold value and which has an excellent single longitudinal mode characteristic is
5 obtained.

In this example, an example where AlGaInAs is used as a material for the buried layer has been specifically described. However, as described in each of the above
10 examples, the same effects can also be obtained even with another material so long as the material includes Al in its composition. However, in such a case, the material needs to have a capacity for absorbing induced emission light from an active layer when several percentages or
15 less of V group element is substituted with nitrogen. For example, AlGaAs, AlGaInP, AlGaInAsP, AlGaSbAs, or the like, can be employed.

Furthermore, in this example, as a structure of
20 a distributed feedback semiconductor laser, a structure where a diffraction grating is provided in an upper portion of the active layer has been described. However, the diffraction grating may be provided in a lower portion of the active layer. Further still, the diffraction

grating may be provided only in a portion of the optical cavity. An absorptive diffraction grating having the same structure may be used in a DBR section of a distributed Bragg reflector semiconductor laser, and can be a
5 wavelength-tunable laser, a wavelength filter, an optical amplifier, etc.

(Example 30)

In this example, the above-described fact that
10 when NH_3 is applied to a semiconductor layer, substitution with nitrogen can be selectively achieved only in a layer including Al, is applied to the production of a semiconductor laser having quantum wires in an active layer.

15 In this example, crystal growth was performed by a crystal growth apparatus which is the same as that used in example 1. As an In source material, In metal was added. The substrate temperature during the crystal growth was
20 550°C.

Hereinafter, a semiconductor laser 70 shown in Figure 18 and a device structure thereof are described in detail while following the production procedure.

First, a low-resistive n-type GaAs (100) substrate 71 was introduced in a MBE apparatus, and the following layers were formed by a first crystal growth operation:

- a lower cladding layer 72: n-type $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$, thickness of $1.0\text{ }\mu\text{m}$;
- a lower guiding layer 73: undoped GaAs, thickness of $0.1\text{ }\mu\text{m}$;
- 10 a buried layer 74: undoped $\text{Al}_{0.1}\text{Ga}_{0.7}\text{In}_{0.2}\text{As}$, thickness of 8 nm ;
- a barrier layer 75: undoped GaAs, thickness of 30 nm ;
- a buried layer 74: undoped $\text{Al}_{0.1}\text{Ga}_{0.7}\text{In}_{0.2}\text{As}$, thickness of 8 nm ;
- 15 a barrier layer 75: undoped GaAs, thickness of 30 nm ;
- a buried layer 74: undoped $\text{Al}_{0.1}\text{Ga}_{0.7}\text{In}_{0.2}\text{As}$, thickness of 8 nm ;
- a barrier layer 75: undoped GaAs, thickness of 30 nm .

20 This layered structure was taken out from the MBE apparatus, and a triangular diffraction grating 76 having a pitch of $0.2\text{ }\mu\text{m}$ and a depth of $0.15\text{ }\mu\text{m}$ was engraved on a surface of the layered structure by electron beam exposure and wet etching. Figure 19(a) shows a

cross-section of the engraved diffraction grating 76. The diffraction grating was formed so as to disconnect the three buried layers 74. The disconnected buried layers 74 of AlInGaAs are exposed on the etched slant surface.

Next, the layered structure with the diffraction grating engraved on its surface was again introduced into the MBE apparatus and heated to a substrate temperature of 550°C in an ultra-high vacuum. To this layered structure, a NH₃ gas molecular beam was applied for 7 seconds at a feed rate of 5 cc/min. At this time, over the surface of the diffraction grating, only the buried layers 74 including Al, which gives a cracking-catalytic effect to NH₃, interact with NH₃. 2% of V group atoms in a portion exposed over the surface are substituted with nitrogen atoms, and the exposed portion is transformed into AlGaInAsN having an energy bandgap of 1.05 eV to form quantum wires 77 (Figure 19(b)).

20

If NH₃ is applied for a long time, a portion of the buried layers 74 exposed over the surface is transformed into a nitride, i.e., AlGaInN. However, when NH₃ is applied for a short time as in this example, only

some of V group atoms are substituted with nitrogen. The lower guiding layer 73 and the barrier layer 75 not containing Al do not react with NH_3 even when NH_3 is applied. It should be noted that the engraving direction of the diffraction grating was selected such that a (111)A surface is exposed on the etched surface of the diffraction grating. According to the researches of the present inventors, it has already been known that the nitrogen substitution efficiency in a {n11}A surface ($n=1, 2, 3, \dots$) is higher than in a {n11}B surface ($n=1, 2, 3, \dots$). Thus, the {n11}A surface ($n=1, 2, 3, \dots$) is desirable as an etching face in which substitution with nitrogen is performed.

Subsequently, in the MBE apparatus, a second crystal growth operation was performed to form the following layers over the diffraction grating:

- an upper guiding layer 78: undoped GaAs, thickness of 50 nm;
- an upper cladding layer 79: n-type $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$, thickness of 1.0 μm ;
- a contact layer 80: p⁺-type GaAs, thickness of 0.5 μm .

This resultant layered structure was taken out

from the MBE apparatus, and mesa stripes each having a width of 1.5 μm were formed by common photolithography and wet etching along a direction perpendicular to the diffraction grating. Thereafter, the outside of the mesa stripes was buried with three current confinement layers respectively made of p-type $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$, n-type $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$, and p-type $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$ (not shown), and electrodes 81a and 81b were formed by vacuum deposition on the upper and lower faces of the resultant structure. The resultant structure was cleaved so as to have a cavity length of 200 μm , thereby completing a semiconductor laser device as shown in Figure 18.

When a current was applied to the produced semiconductor laser device through the upper and lower electrodes 81a and 81b, the laser oscillation was performed at a threshold current of 1 mA.

In a semiconductor laser of this example, the quantum wires 77 formed according to the process described with reference to Figure 19 function as an active layer. That is, within a portion of the exposed surface of the diffraction grating 76 which includes Al in its composition, a V group element is substituted with

nitrogen, and the energy bandgap is significantly narrowed, carriers can be confined in the shape of a wire. The width of the wire-shaped active layer is determined by the extent of a region where a portion of the composition of the buried layers 74 is substituted with nitrogen (i.e., depth from a surface) so that superior reproducibility and in-plane distribution can be obtained. Furthermore, since the depth from the surface of the region where arsenic is substituted with nitrogen is 10 nm or less, quantum wires having a considerably small widths can be obtained. Furthermore, in this example, a triangular diffraction grating is formed, whereby quantum wires are formed. However, the diffraction grating may be formed so as to have a trapezoidal shape, a rectangular shape, a sine wave shape, or the like.

In this example, an example where AlGaInAs is used as a material for the buried layer has been specifically described. However, the same effects can also be obtained even with another material so long as the material includes Al in its composition. For example, AlGaAs, AlGaInP, AlGaInAsP, AlGaSbAs, or the like, can be employed.

Furthermore, the structure of a Fabry-Perot semiconductor laser has been described. However, when the pitch of the diffraction grating is selected such that the Bragg wavelength which is determined based on the pitch of the diffraction grating substantially accords with a gain wavelength of a quantum wire active layer, a gain diffraction grating-type distributed feedback semiconductor laser in which the gain of the active layer is periodically modulated can be readily obtained.

10

In an active layer formed by the above method, the residual carbon impurity concentration and the etch pit density are considerably low as compared with an active layer formed by a conventional method. Thus, such an active layer can produce a high optical gain, and a semiconductor laser with high performance can be constructed.

15

(Example 31)

20

A GaAs substrate which has, on its surface, crystal faces which are slanted from a (100) surface in a [011] direction (A-direction) at angles of 0°, 2°, 5°, 10°, 15°, and 25° was used. Over the GaAs substrate, a single quantum well structure including a well layer of

Al_{0.1}Ga_{0.9}As_{1-z}N_z having a thickness of 8 nm, and upper and lower barrier layers of Al_{0.3}Ga_{0.7}As having a thickness of 0.5 μm was produced. The Al_{0.1}Ga_{0.9}As_{1-z}N_z well layer was formed by the same crystal growth method and under the same crystal growth conditions as in example 2.

(Comparative Example 11)

A GaAs substrate which has, on its surface, a crystal face which is slanted from a (100) surface in a [011] direction (A-direction) at an angle of 55° was used. Over the GaAs substrate, a single quantum well structure including a well layer of Al_{0.1}Ga_{0.9}As_{1-z}N_z having a thickness of 8 nm, and upper and lower barrier layers of Al_{0.3}Ga_{0.7}As having a thickness of 0.5 μm was produced. The Al_{0.1}Ga_{0.9}As_{1-z}N_z well layer was formed by the same crystal growth method and under the same crystal growth conditions as in example 2.

The above slanted substrates of example 31 and comparative example 11 are generally represented as a (n11)A surface (n=1, 2, 3, ...). For example, a crystal face which is slanted from a (100) surface in a [011] direction (A-direction) at an angle of 10° is a (811)A surface; a crystal face which is slanted from a

(100) surface in a [011] direction (A-direction) at an angle of 25° is a (311)A surface; and a crystal face which is slanted from a (100) surface in a [011] direction (A-direction) at an angle of 55° is a (111)A surface.

5

(Comparative Example 12)

A GaAs substrate which has, on its surface, crystal faces which are slanted from a (100) surface in a [0-11] direction (B-direction) at angles of 2° , 5° , 10° , 15° , 25° , and 55° was used. Over the GaAs substrate, a single quantum well structure including a well layer of $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}_{1-x}\text{N}_x$ having a thickness of 8 nm, and upper and lower barrier layers of $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$ having a thickness of $0.5\text{ }\mu\text{m}$ were produced. The $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}_{1-x}\text{N}_x$ well layer was formed by the same crystal growth method and under the same crystal growth conditions as in example 2.

The above slanted substrate is generally represented as a (n11)B surface ($n=1, 2, 3, \dots$). For example, a crystal face which is slanted from a (100) surface in a [0-11] direction (B-direction) at an angle of 10° is a (811)B surface; a crystal face which is slanted from a (100) surface in a [0-11] direction (B-direction) at an angle of 25° is a (311)B surface; and a crystal face

which is slanted from a (100) surface in a [0-11] direction (B-direction) at an angle of 55° is a (111)B surface.

5 A measurement result of the nitrogen composition z in the $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}_{1-z}\text{N}_z$ single quantum well layer formed in examples 2 and 31 and comparative examples 11 and 12 is shown in Figure 20. The nitrogen composition z was measured from the light wavelength of
10 a photoluminescence wave in the quantum well.

 In the case of example 31 and comparative example 11 where a crystal is grown on a substrate slanted at an angle of 2° to 55° in [011] direction (A-direction),
15 the nitrogen composition in the crystal increases according to the increase of the slant angle. In the case of comparative example 12 where a crystal is grown on a substrate slanted in [0-11] direction (B-direction), the nitrogen composition in the crystal decreases according
20 to the increase of the slant angle. This is because on a surface of the substrate slanted from a (100) surface in a [011] direction (A-direction), an atomic step (A step) which ends with a III group atom (Ga or Al) is formed. That is, the probability that an undecomposed

or physically-absorbed NH_3 -decomposition species which has reached an end of the step encounters an active Al atom at the step end increases, and accordingly, decomposition and adsorption are accelerated, and
5 nitrogen is readily introduced into a crystal.

On the other hand, on a surface of the substrate slanted in [0-11] direction (B-direction), an atomic step (B step) which ends with a V group atom (As or N) is formed.
10 In this case, the probability that an undecomposed or physically-absorbed NH_3 -decomposition species which has reached an end of the step encounters an active Al atom at the step end is very low, and accordingly, nitrogen atoms are not readily introduced into a crystal.

15 In the case of a non-slanted (100) surface, the direction of a kink site in the outermost surface of the crystal into which a N atom is introduced is not controlled, an A step may be formed, and a B step may be formed. That
20 is, in this case, the introduction characteristic of N atoms is intermediate between the characteristic obtained when a crystal is formed on a surface slanted in [011] direction (A-direction) and the characteristic obtained when a crystal is formed on a surface slanted

in [0-11] direction (B-direction).

In the case of improving the introduction efficiency of nitrogen into a crystal by using NH_3 as a nitrogen source material and adding Al, it was found that the effect of NH_3 and Al can be more positively utilized by using a substrate which is slanted from a (100) surface in a [011] direction (A-direction). This function/effect is unique to the structure of the present invention which improves the efficiency of use of a NH_3 source material by Al atoms existing on a surface of a growing crystal. It should be noted that in the case where Al was not included, even when a GaAsN layer was grown on a substrate slanted in the A-direction, introduction of sufficient amount of nitrogen did not occur.

On the other hand, Figure 21 shows a measurement result of the photoluminescence emission intensity in the single quantum well formed of a multi-layered film produced in each of example 31 and comparative examples 11 and 12.

In the case of example 31 where a crystal was grown on a substrate slanted at an angle of 2° to 55° in

[011] direction (A-direction), in a slant angle range from 2° to 25° , the emission intensity was increased as compared with a case where a non-slanted substrate (slant angle: 0°) was used; in the slant angle range of 25° or more (comparative example 11), the emission intensity was decreased. In the case of comparative example 12 where a crystal was grown on a substrate slanted in [0-11] direction (B-direction), in a slant angle range from 2° to 15° , the emission intensity was substantially equal or slightly increased as compared with a case where a non-slanted substrate was used; in the slant angle range of 15° or more, the emission intensity was decreased. In either slant direction, the emission intensity was increased in a relatively small slant angle range because the growth was performed in a step-flow mode. Further, when the slant angle is increased, a crystal surface deviates from a (100) face, and a step-flow growth in which crystal growth proceeds while keeping the crystal surface smooth cannot be achieved. Especially when a substrate slanted in [011] direction is used, it was found that there is a favorable slant angle range (2° - 25°) within which both increase in the introduction efficiency of nitrogen into a film by a function of Al atoms at a step end and improvement in emission characteristic in a

step-flow mode with improved efficiency of use of a nitrogen source material can be achieved.

In this example, a case where a substrate having,
5 on its surface, crystal faces slanted at 2° , 5° , 10° , 15° ,
 25° , and 55° from a (100) surface in a [011] direction
(A direction) has been described. However, it is
needless to say that the same function/effect can be
obtained when a substrate having on its surface a crystal
10 face which is equivalent in a crystallographic sense to
the above crystal faces is used. Further, although a
crystal face slanted from a (100) surface in a
[011] direction has been described, even with a slant
direction deviated from [011] direction by about 10° in
15 a direction parallel to a (100) surface, the same effects
as obtained when a crystal face is slanted in a
[011] direction can be obtained.

As described above, when a light emitting element
20 is produced by forming a layered structure which is the
same as that of example 11 on a crystal face slanted from
a (100) surface in a [011] direction, a high-performance
optical device which operates at a lower oscillation
threshold current value can be produced.

(Example 32)

In this example, a production example of an AlGaInNAs pseudo mixed crystal having a superlattice structure alternately including a single molecular layer of AlGaAsN and a single molecular layer of InGaAs.

Herein, crystal growth was performed on a GaAs (100) substrate at a substrate temperature of 550°C by a MBE method where solid sources were used as a III group source material and an arsenic source material, and a NH₃ gas source was used as a nitrogen source material. The grown crystal structure alternately includes 50 sets of a single molecular layer of Al_{0.2}Ga_{0.8}As_{0.96}N_{0.04} and a single molecular layer of Ga_{0.4}In_{0.6}As. The Al, Ga, In, and As₄ beam pressures during crystal growth were set to 7.0×10^{-8} torr, 2.8×10^{-7} torr, 4.2×10^{-7} torr, 8.0×10^{-6} torr, respectively. The feed rate of NH₃, i.e., the beam pressure of NH₃, was set to 2.0×10^{-6} torr. In this case, the growth rate for AlGaAsN was one molecular layer per two seconds, and the growth rate for GaInAs was one molecular layer per one second. The above layered structure of a single molecular layer was grown such that molecular layers were formed in a one-molecular-layer by

one-molecular-layer basis with one second intervals therebetween, whereby improvement in flatness of each molecular layer was achieved.

5 The PL (photoluminescence) emission wavelength of this layered structure at room temperature was about 1.3 μm , and the full width at half maximum for emission was 20 meV. That is, a very strong emission intensity was obtained.

10

(Comparative Example 13)

For comparison, a bulk crystal of $\text{Al}_{0.1}\text{Ga}_{0.6}\text{In}_{0.3}\text{As}_{0.98}\text{N}_{0.02}$ which is an average composition of a superlattice structure of AlGaAsN and GaInAs described in example 32 was formed. The Al, Ga, In, and As₄ beam pressures during crystal growth were set to 3.5×10^{-8} torr, 2.1×10^{-7} torr, 1.1×10^{-7} torr, and 8.0×10^{-6} torr, respectively. The growth rate was the same as in example 32. In this case, the feed rate of NH_3 required for obtaining a nitrogen-composition ratio of 0.02 was 5.0×10^{-6} . That is, even though the nitrogen composition ratio was a half that of example 32, a 2.5 times larger NH_3 beam amount was required. The PL emission wavelength of this bulk crystal at room temperature was about 1.3 μm ,

which accords with the superlattice structure of example 32. However, the emission intensity was decreased to about 1/3.

5 Hereinafter, a function and an effect of the present invention are described with reference to example 32 and comparative examples 13.

10 As described in the above examples, the present inventors found that addition of Al improves the efficiency of use of NH_3 by two or more digits. Further, it was found that when In is added together, the efficiency of use of NH_3 is decreased to a fraction of that obtained when In is not included, i.e., In has a counter effect

15 to that of Al. This example was conceived of for the purpose of avoiding an influence of reduction in efficiency of use of NH_3 when forming a mixed crystal in which In is added. That is, in this example, AlGaAsN , where nitrogen is crystallized in a mixed crystal while

20 the efficiency of use of NH_3 is improved by adding Al and not adding In, and InGaAs , where In is included so that nitrogen is not crystallized in a mixed crystal, are superposed.

Thus, when growing a crystal of AlGaAsN, crystal growth can be performed while the efficiency of use of NH_3 is improved by Al. Therefore, an AlGaAsN layer with superior crystallinity can be grown. When growing an InGaAs portion, it is not necessary to crystallize nitrogen although In which decreases the efficiency of use of NH_3 is included. Therefore, an InGaAs layer with superior crystallinity can be grown. As a result, an AlGaAsN/InGaAs pseudo mixed crystal provides superior optical characteristics as compared with a bulk AlGaInNAs.

In example 32, an AlGaAsN/InGaAs pseudo mixed crystal has been exemplified, and a specific crystal growth method, emission characteristic, etc, thereof have been described. It is needless to say that a layer in which aluminum and nitrogen are included but indium is not included so that the efficiency of use of NH_3 is improved is not limited to an AlGaAsN layer. Such a layer may include any III or V group element, such as phosphorus (P), antimony (Sb), etc. Furthermore, it is also needless to say that a layer in which indium is included but nitrogen is not included is not limited to an InGaAs layer. Such a layer may include any III or V group element, such as

aluminum (Al), phosphorus (P), antimony (Sb), etc.

Furthermore, the thickness of each of the layers which form a pseudo mixed crystal may be of any thickness as long as it includes one or more molecular layers. The number of molecular layers included in the pseudo mixed crystal need not necessarily be a natural number, but may be a fractional number, as long as it includes one or more molecular layers. However, if the thickness of each layer exceeds 10 molecular layers, each of the superposed molecular layers exhibits physical properties as an independent crystal, and therefore, the mixed crystal does not behave as a pseudo mixed crystal. Thus, the thickness of each layer needs to be 10 molecular layers or less. It should be noted that after a layered structure described in this example has been formed, the layered structure may be subjected to a thermal treatment, an impurity diffusion process, or the like, so as to be disordered, whereby a substantially-uniform bulk crystal may be obtained.

(Example 33)

A compound semiconductor layer or a compound semiconductor device produced according to the above-

described examples was applied to a structure of an application system. Herein, as an example, an application of the production of an optical transmission/reception module used in an optical system is described.

Figure 22 shows a schematic view of a produced optical transmission/reception module 90. An optical signal having a wavelength of $1.3 \mu\text{m}$ transmitted from a base station through an optical fiber 97 is coupled to an optical waveguide 93 at point a and transmitted through the optical waveguide 93. At a Y-branching section 96, the optical signal transmitted is divided 50:50, one half reaches a detector section 95 for light-reception through point b and is converted into an electric signal. On the other hand, regarding a transmission function, an electric signal is converted to an optical signal by a semiconductor laser section 92, and the optical signal is coupled to the optical waveguide 93 through point c and transmitted to the optical fiber 97 through point a. An output monitor section 94 monitors an optical output of a semiconductor laser from behind.

The semiconductor laser section 92 for transmission, the detector section 95 for light-reception, the output monitor section 94 of a semiconductor laser for transmission, and the optical waveguide 93 which form the optical transmission/reception module are formed by a single crystal growth operation, and these micro devices are monolithically integrated. The layered structures of the semiconductor laser section 92 for transmission, the detector section 95 for light-reception and the output monitor section 94 of a semiconductor laser for transmission are the same as that described in example 11. That is, in these layered structures, a semiconductor material containing aluminum in addition to arsenic and nitrogen is used in a core layer section of a quantum well active layer or a core layer so that the laser device provides a high performance. In the optical waveguide 93, Zn is diffused into the waveguide 93 from the upper surface thereof so as to disorder the quantum well structure of the core layer, whereby the waveguide 93 becomes transparent to light having a wavelength of 1.3 μm . Each micro device is processed by dry etching so as to be separated from the other micro devices.

In this optical transmission/reception module, in the well layers of the semiconductor laser section 92 for transmission, the detector section 95 for light-reception, and the output monitor section 94 of a semiconductor laser for transmission, NH_3 is used as a nitrogen source material, and a semiconductor material containing arsenic and nitrogen is used. Furthermore, aluminum is included in the semiconductor material, whereby electric power consumed in a semiconductor laser section is reduced, and the light-electricity conversion efficiency is considerably improved in the detector/monitor section. Thus, performance of the entire system is improved.

In this example, NH_3 is used as a nitrogen source to grow a crystal of a semiconductor layer including arsenic and nitrogen together while adding aluminum, and the grown layer is used as a light emission layer, a light receiving layer, etc. On the other hand, it is needless to say that, even when a similar system is constructed by using various combinations of elements, compositions, orientations of a substrate, etc., which have been described above in the other examples, the constructed system also achieves high performance.

In the above, the application to an optical fiber transmission system has been described. However, it is needless to say that the same structure can be realized in an application system, such as a spatial light transmission system which does not use an optical fiber, an optical measurement system having a optical sensor function, a medical apparatus which uses a laser, etc., and the same effects can be obtained in such an application system.

It is needless to say that, the present invention is not limited to a specific crystal system, a combination of a composition of a mixed crystal, a band gap wavelength, or a hetero junction, or to a device structure described in the above examples. Specifically, a device is not limited to a semiconductor laser. The present invention can be applied to the formation of a certain layer of any optical device, such as a photodetector element, an optical waveguide element, etc., or any electronic device, such as a transistor, a FET, a HEMT, etc.

Furthermore, an application system is constructed by using the thus-produced semiconductor device, whereby

performance of the application system can be considerably improved. Especially when such a device is used as an active layer of a semiconductor laser, high reliability, a low threshold current value and a reduction in characteristic deterioration during high-temperature operation can be realized. Thus, reduction of power consumption in an application system, such as an optical fiber transmission system, a spatial light transmission system, an optical measurement system, etc., is realized.

10

Furthermore, described in the examples of the present invention has been a case where several percentages of nitrogen is crystallized into a III-V compound semiconductor, and when Ga and In are suitably included in addition to Al as III group elements, As, P, and Sb are suitably included in addition to N as V group elements. However, other III group elements (B, Tl, etc.) or a III group element (Bi) may be suitably crystallized into a crystal. Furthermore, the same effects can be obtained even when impurity elements (Zn, Be, Mg, Te, S, Se, Si, etc.) are suitably contained.

20

Furthermore, in the above examples, a GaAs substrate, an InP substrate, and a Si substrate are used.

However, the substrates are not limited to these substrates. The same effects can be obtained by using other substrates. For example, other III-V compound semiconductor substrates such as an InGaAs substrate, 5 II-VI compound semiconductor substrates such as a ZnSe substrate, and IV compound semiconductor substrates such as a Ge substrate and an SiC substrate, may be used.

Furthermore, the technique described in the 10 present application can be applied to formation of a material where nitride is intentionally added as a part of its composition or as an impurity, or surface nitration of any material, such as introduction of an electron trap such as nitrogen into a GaP-based indirect transient 15 material, doping of a II-VI semiconductor of ZnCdMgTeSse with nitrogen, production of an amorphous material such as an SiN film, formation of a surface-nitrided buffer layer on a GaAs substrate for growing a cubic GaN-based material over the GaAs substrate, etc. These 20 applications fall within the extent of the inventions of the present application.

In the above-described examples, as a crystal growth method, a MBE method, a CBE method, an MO-MBE method,

and a GS-MBE method are used. However, each example is not limited to a crystal growth method described in the example, but may select a suitable one among the methods described in the other examples. Furthermore, although
5 crystal growth in an ultra-high vacuum has been described in the examples in detail, the each example is not limited thereto. The technique of the present invention is applicable to a case where NH_3 gas is introduced by a vacuum deposition method, a vacuum sputtering method, a reduced
10 pressure CVD method, or the like.

Furthermore, a source material of each component element used for crystal growth is not limited to the source materials specified in the examples or any specific
15 combination thereof, but any material and any combination of materials may be employed. Especially, ammonium used as a nitrogen source material may be supplied in various forms, and the same effects can be obtained regardless of the form of ammonium. Vapor of liquid ammonium may
20 be directly supplied to a substrate. Alternatively, ammonium diluted with hydrogen, nitrogen, argon, helium, other material gas, or a mix gas thereof, etc., may be supplied. Furthermore, ammonium may be mixed with other nitrogen compounds, such as a hydrazine system, an amine

system, or the like, so long as much impurities are not introduced in a crystal.

Furthermore, it is effective to assist the decomposition of a nitrogen source material by cracking the nitrogen source material at an appropriate temperature or by applying UV light to the nitrogen source material. Furthermore, as a source material of aluminum, a molecular species which is obtained by subliming, evaporating, or sputtering any aluminum alloy, various organometallic compounds such as triethylaluminum (TEAl), dimethyl aluminum halide (DMeAlH), etc., or a mixture thereof may be used as well as aluminum metal and TMA1 which have been described in detail in the examples.

In the case where an organometallic compound is used as a source material, vapor thereof may be directly supplied to a substrate. Alternatively, the organometallic compound may be transported by using hydrogen, nitrogen, argon, helium, other material gas, or a mix gas thereof, etc., as a carrier. The degree of freedom in the selection of these source materials is the same for other source materials of gallium, indium, arsenic, phosphorus, antimony, etc.

It should be noted that in the above descriptions, a direction represented by "upper" denotes a direction which goes away from a substrate, and a direction
5 represented by "lower" denotes a direction which comes close to the substrate. Crystal growth proceeds from a "lower" level to an "upper" level.

INDUSTRIAL APPLICABILITY

10

According to the present invention, in crystal growth of a semiconductor material which is accompanied by addition/crystallization of nitrogen into a crystal or adsorption/substitution of nitrogen on a crystal
15 surface, a crystal with high quality in which the residual impurity concentration and the etch pit density are low can be formed, and the efficiency of use of ammonium which is a nitrogen source material can be considerably improved.

20

Especially in the case where a III-V compound semiconductor including, as V group components, nitrogen and a V group element other than nitrogen is formed, a crystal having a superior emission characteristic can be

formed while a sufficient amount of nitrogen is crystallized in the crystal. Thus, a crystal growth method of the present invention is suitable for producing a semiconductor laser which uses, in its active layer, a III-V compound semiconductor material including, as
5 a V group components, nitrogen and a V group element other than nitrogen. The crystal method of the present invention can produce a device which operates at a low oscillation threshold current value and which has a long
10 lifetime. Furthermore, by constructing an application system using a compound semiconductor device produced according to the present invention, performance of the system can be improved. Especially when an optical transmission system or an optical measurement system is
15 constructed by using a light emitting device produced according to the present invention, reduction of power consumption in the system and reduction in production cost of the system can be achieved.

20 Furthermore, based on the amount of added aluminum or based on whether or not aluminum is added, the amount of nitrogen introduced into a crystal can be controlled, and nitrogen can readily be added to only a portion of a substrate. By utilizing such a function, a

semiconductor buried layer, an absorptive diffraction grating, a quantum wire, etc., can be formed through a vacuum continuous growth process, and a device having a superior device characteristic can be produced.

CLAIMS

1. A crystal growth method comprising a method for adding or crystallizing nitrogen in a crystal, wherein ammonium (NH_3) is used as a nitrogen source material, and aluminum is added.

2. A crystal growth method comprising a method for adsorbing a nitrogen atom on a crystal surface, wherein ammonium (NH_3) is used as a nitrogen source material, and the crystal surface includes aluminum.

3. A crystal growth method comprising a method for substituting a portion of elements in a crystal surface with nitrogen atoms, wherein ammonium (NH_3) is used as a nitrogen source material, and the crystal surface includes aluminum.

4. A crystal growth method according to any of claims 1-3, wherein decomposition of ammonium and adsorption of nitrogen on a crystal surface is accelerated by aluminum.

5. A crystal growth method according to any of claims 1-4, wherein the aluminum exists at least in an outermost

surface of a growing layer.

6. A crystal growth method according to any of claims 1-5, wherein an amount of nitrogen added to a crystal, a nitrogen composition, an amount of nitrogen adsorbed on a crystal surface and an amount of an element in the crystal surface which is substituted with a nitrogen atom are controlled based on an amount or composition ratio of added aluminum.

7. A crystal growth method according to any of claims 1-6, wherein aluminum is added to or crystallized in a restricted region, whereby only in the restricted region, nitrogen is added or crystallized, a nitrogen atom is adsorbed, or an element in a crystal surface is substituted with a nitrogen atom.

8. A crystal growth method according to any of claims 1-7, wherein a method selected among a molecular beam epitaxial (MBE) growth method, a metal organic molecular beam epitaxial (MO-MBE) growth method, a gas source molecular beam epitaxial (GS-MBE) growth method, and a chemical beam epitaxial (CBE) growth method is used.

9. A crystal growth method according to any of claims 1-8, wherein crystal growth of a III-V compound semiconductor including, as V group components, nitrogen and a V group element other than nitrogen is performed.

10. A crystal growth method according to claim 9, wherein at least one of arsenic (As), phosphorus (P), and antimony (Sb) is selected as the V group element other than nitrogen.

11. A crystal growth method according to any of claims 9-10, wherein a substrate temperature is in a range from 450°C to 640°C.

12. A crystal growth method according to any of claims 2 and 4-11, comprising a series of steps including at least steps of:

supplying a III group source material including aluminum of less than one atomic layer;

subsequently, supplying ammonium so as to adsorb nitrogen atoms of less than one atomic layer; and

supplying a source material of a V group element other than nitrogen,

wherein the series of steps are repeated one time

or more.

13. A crystal growth method according to claim 12, wherein in the step of supplying ammonium so as to adsorb nitrogen of less than one atomic layer, the source material of the V group element other than nitrogen is not supplied at the same time.

14. A crystal growth method according to claim 12 or 13, wherein crystal growth is performed over a single crystal substrate in which a {100} surface is a principal plane.

15. A crystal growth method according to any of claims 3-11, comprising a series of steps including at least steps of: forming a III-V compound crystal layer including at least one molecular layer of aluminum; and subsequently, supplying ammonium so as to substitute a portion of V group atoms in the III-V compound crystal layer with nitrogen atoms, wherein the series of steps are repeated one time or more.

16. A crystal growth method according to any of claims 3-11, comprising at least steps of:

crystal-forming a layered structure including at

least a first semiconductor layer containing aluminum and a second semiconductor layer superposed thereon;

etching the layered structure while masking a portion of the layered structure such that the first semiconductor layer is exposed in a portion of an etched surface; and

supplying ammonium to the etched surface while heating the layered structure such that at least a portion of a constituent element in the first semiconductor layer is substituted with nitrogen.

17. A crystal growth method according to claim 16, wherein the etched surface is a (n11)A surface ($n=1, 2, 3, \dots$).

18. A method for forming a semiconductor microwire structure wherein:

the crystal growth method of claim 16 or 17 is used when forming a semiconductor microstructure having a periodically-positioned wire pattern;

a diffraction grating is formed by the step of etching the layered structure while masking a portion of the layered structure such that the first semiconductor layer is exposed in a portion of an etched surface; and

a periodical wire structure is formed at a $1/2$ of the pitch of the diffraction grating by the step of supplying ammonium to the etched surface while heating the layered structure such that at least a portion of a constituent element in the first semiconductor layer is substituted with nitrogen.

19. A method for forming a semiconductor microwire structure according to claim 18, wherein the wire structure is an absorptive diffraction grating section of a gain-coupled distributed feedback semiconductor laser having an absorptive diffraction grating, or a quantum wire.

20. A semiconductor device comprising a semiconductor layer formed by a crystal growth method described in any of claims 1-18.

21. A semiconductor device according to claim 20, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

22. A crystal growth method according to any of claims 1-15, wherein a surface of the single crystal substrate is a crystal surface slanted from a (100) surface in a [011] direction (A direction) or a crystal face which is equivalent in a crystallographic sense to the slanted crystal surface.

23. A crystal growth method according to claim 22, wherein the slant angle is within a range equal to 2° or more and equal to 25° or less.

24. A crystal growth method according to any of claims 1-15, wherein one or more pairs of semiconductor layer A and semiconductor layer B are superposed, the semiconductor layer A including at least Al and nitrogen in its composition but not including indium in its composition, and the semiconductor layer B including at least indium in its composition but not including nitrogen in its composition.

25. A crystal growth method according to claim 24, wherein the thickness of each of the semiconductor layers A and B is one molecular layer or more, and ten molecular layers or less.

26. A semiconductor device comprising a semiconductor layer formed by the crystal growth method recited in any of claims 22-25.

27. A semiconductor device according to claim 26, wherein the semiconductor device is a light emitting element, and the semiconductor layer forms a light emitting layer thereof.

28. A system which uses a compound semiconductor device recited in any of claims 20, 21, 26, and 27.

FIG.1

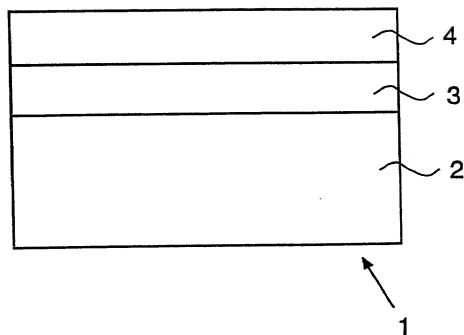
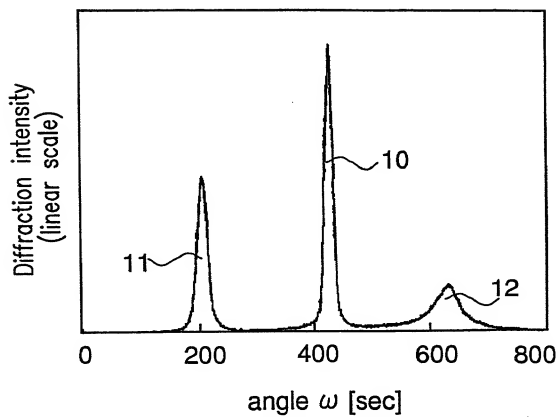
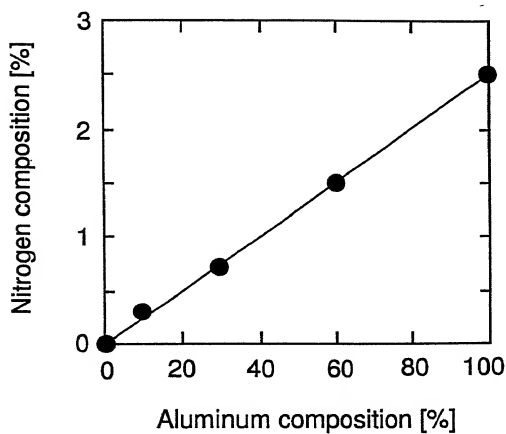


FIG.2



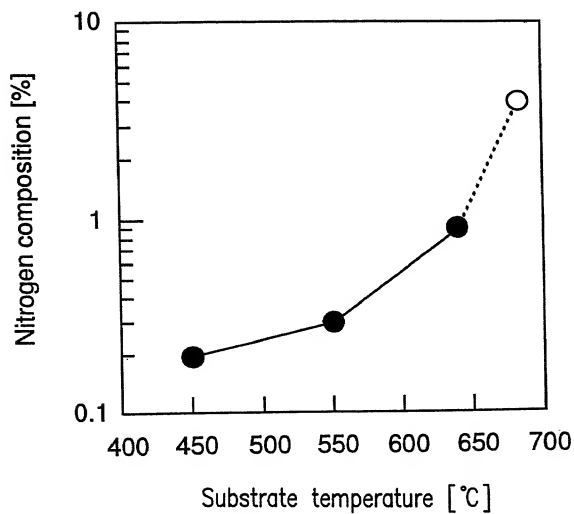
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FIG.3



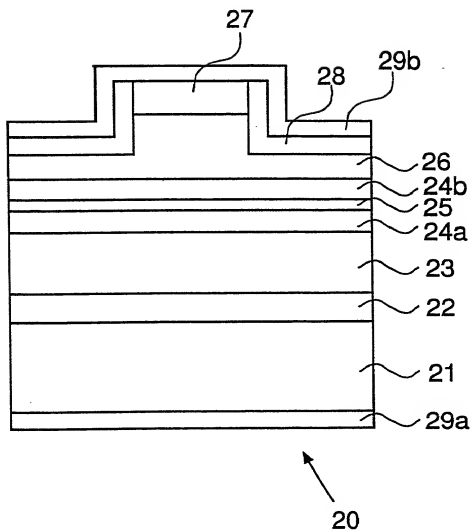
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FIG.4



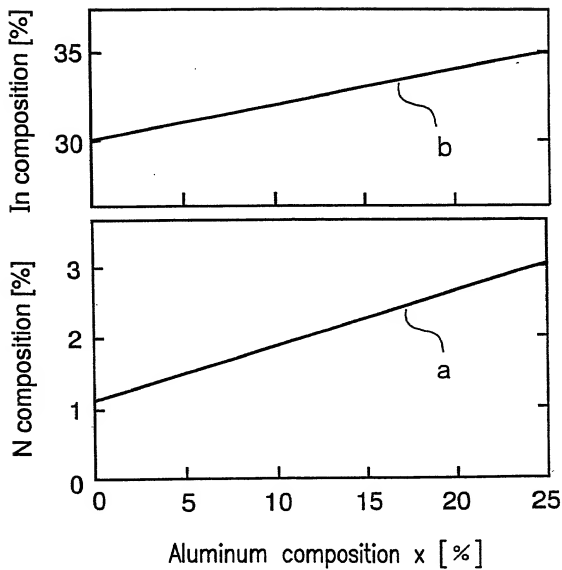
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FIG.5



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FIG.6



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FIG.7(a)

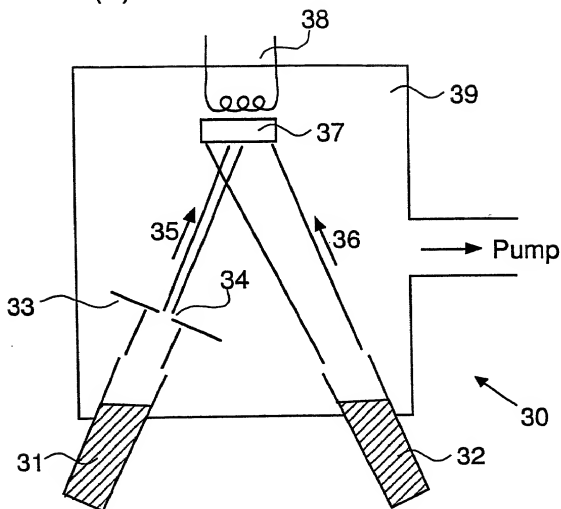
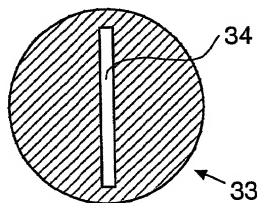


FIG.7(b)



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FIG.8(a)

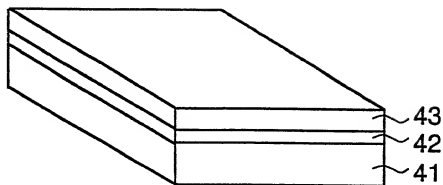


FIG.8(b)

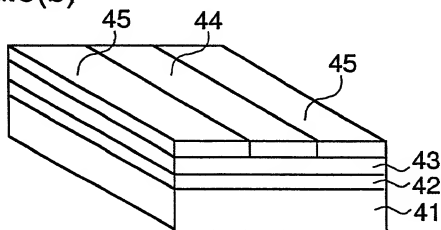
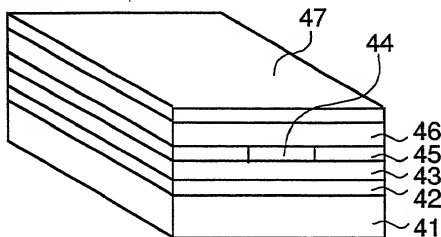
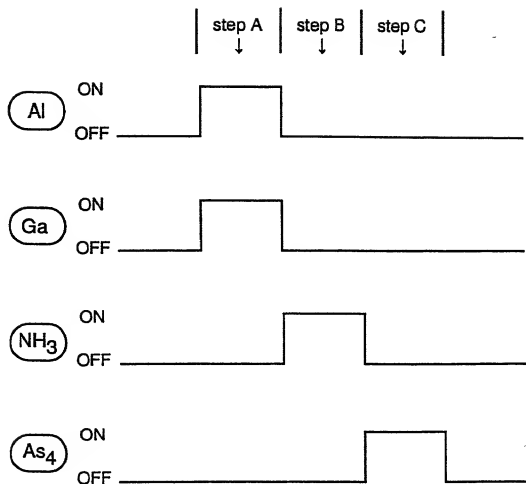


FIG.8(c)



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FIG.9



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FIG.10

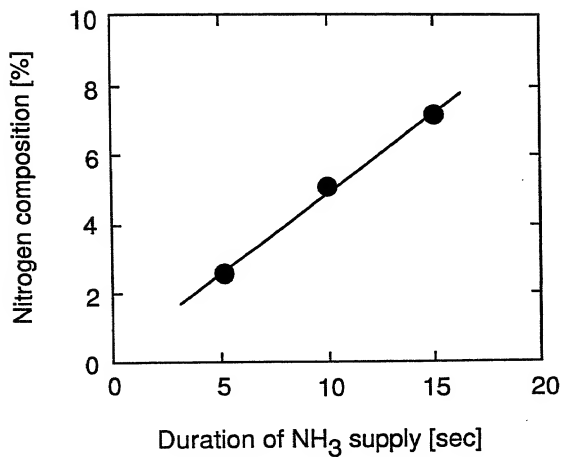
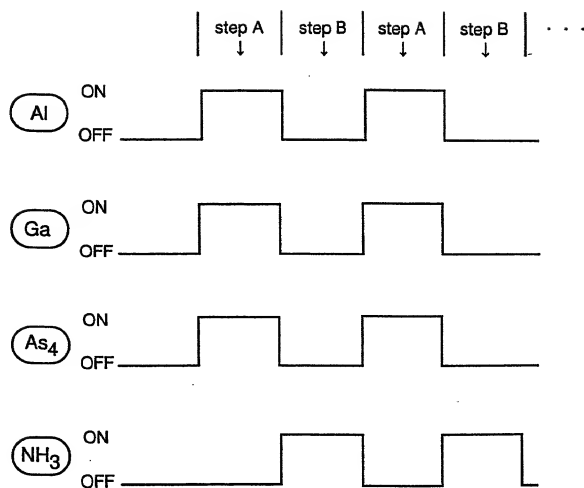


FIG.11



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FIG.12

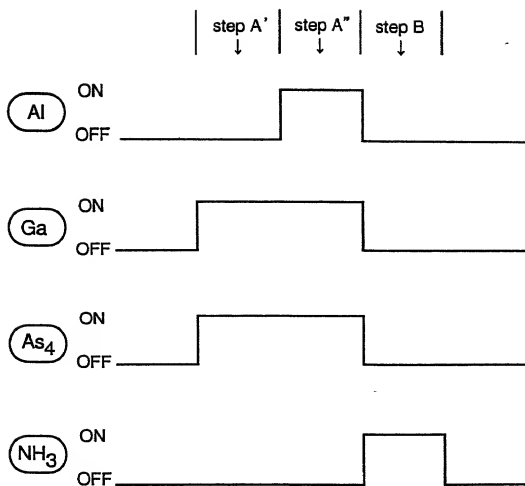


FIG.13

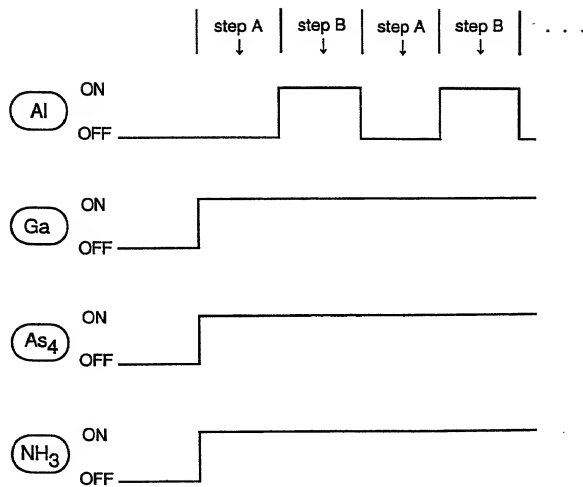


FIG. 14

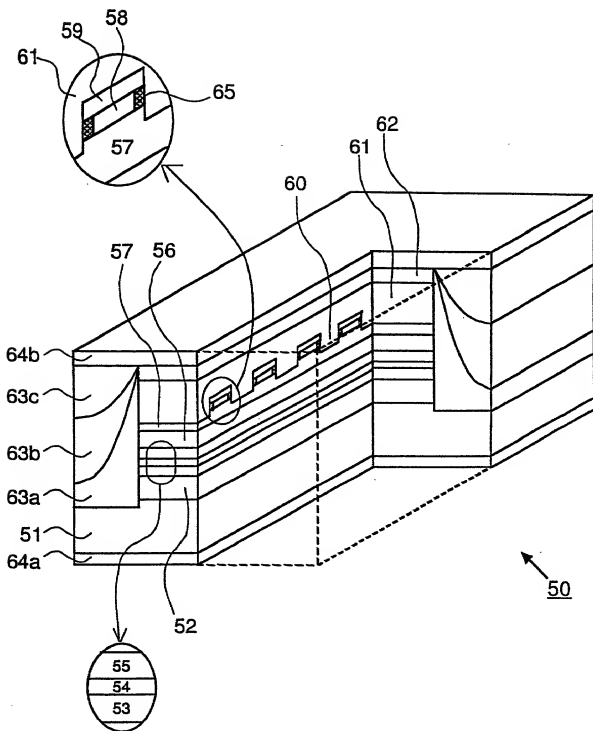


FIG.15(a)

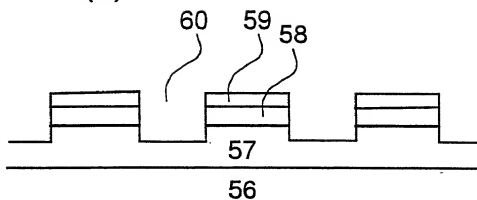


FIG.15(b)

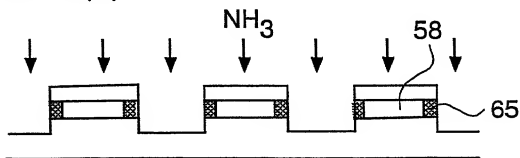


FIG.15(c)

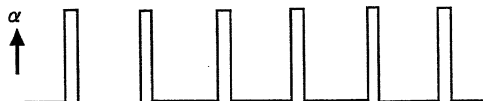


FIG.16

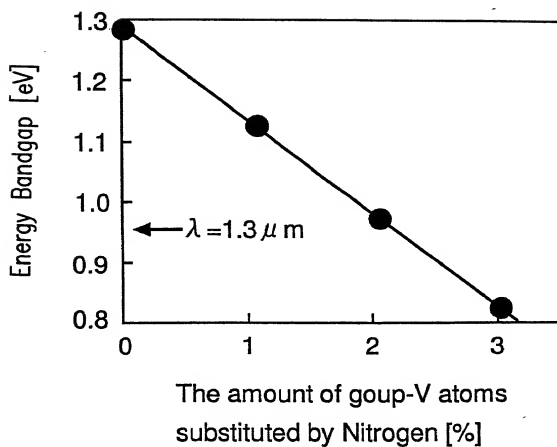


FIG.17(a)

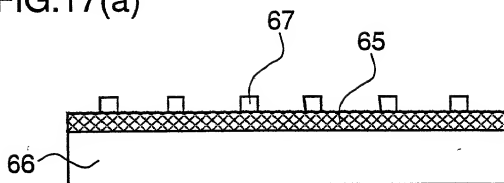


FIG.17(b)

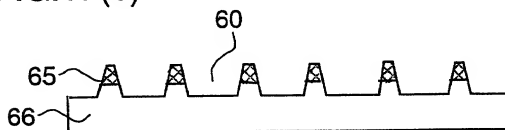


FIG.17(c)



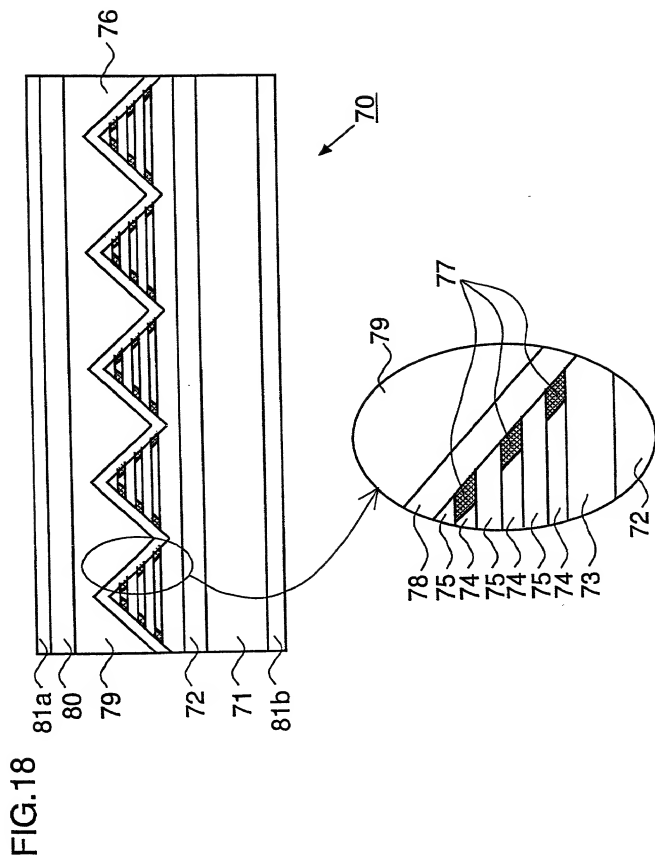


FIG.19(a)

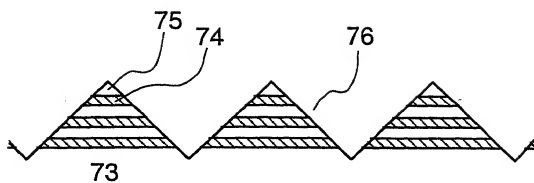


FIG.19(b)

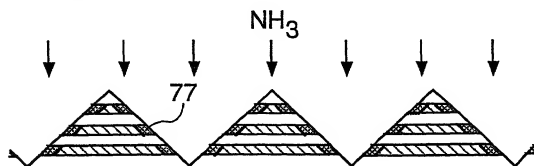


FIG.20

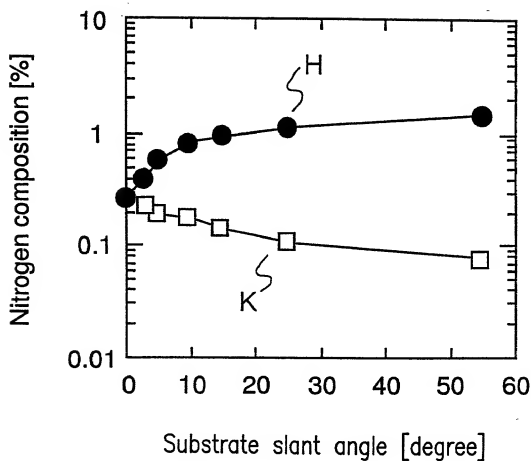
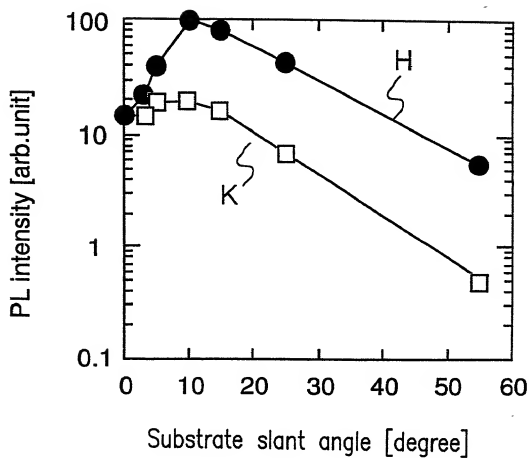
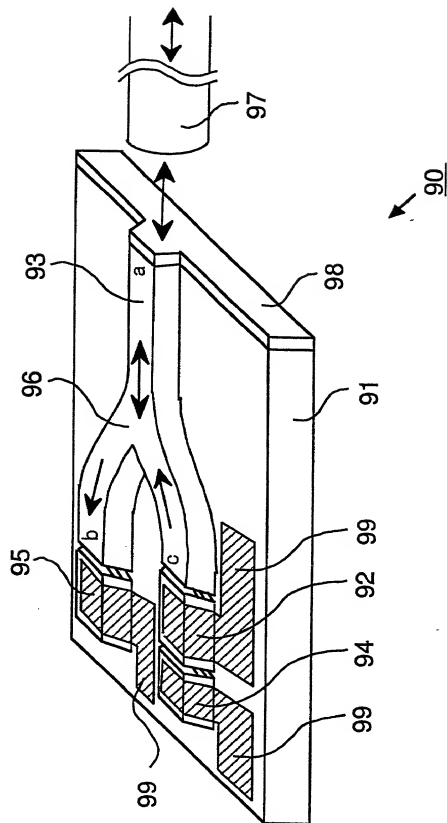


FIG.21



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FIG.22



**RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CRYSTAL GROWTH METHOD, PRODUCTION METHOD OF SEMICONDUCTOR MICROSTRUCTURE, SEMICONDUCTOR DEVICE, AND SYSTEM
the specification of which (check applicable box(es)):

☐ is attached hereto
☐ was filed on _____ as U.S. Application Serial No. _____ (Atty Dkt. No. _____)
☒ was filed as PCT International application No. PCT/JP00/01688 on March 17, 2000
and (if applicable to U.S. or PCT application) was amended on October 17, 2000 and May 21, 2001

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s): Application Number <u>11-72886</u>	Country <u>Japan</u>	18 March 1999	Day/Month/Year Filed
--	-------------------------	---------------	----------------------

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number _____	Date/Month/Year Filed _____
--------------------------	-----------------------------

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

Prior U.S./PCT Application(s): Application Serial No. _____	Day/Month/Year Filed _____	Status: patented pending, abandoned
--	----------------------------	--

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 5th Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000** (to whom all communications are to be directed), and the following attorneys hereof (of the same address) individually and collectively owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent. Arthur R. Crawford, 25327; Larry S. Nixon, 25640; Robert A. Vanderhye, 27026; James T. Hosmer, 30184; Robert W. Faria, 31352; Richard G. Basha, 22770; Mark E. Nuebaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Michard, 29009; Duane M. Byers, 33363; Jeffrey H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, J. 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Moian, 29834; B. J. Sadoff, 36663; James D. Berquist, 34778; Updeep S. Gill, 37334; Michael J. Shea, 34728; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329. I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

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FOR ADDITIONAL INVENTORS, check box ☒ and attach sheet with same information and signature and date for each.

RULE 63 (37 C.F.R. 1.63)
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Nixon & Vanderhye P.C. (12/95)

Page 2

FOR ADDITIONAL INVENTORS, check box ☒ and attach sheet with same information and signature and date for each.

3-00

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